

Measures for Smooth Implementation of Roman Alphabet Characters in Securities Codes

To address a decrease in available specific name codes assigned to general companies (4-digit numbers from [1300] to [9999]), the Securities Identification Code Committee (SICC) (*1) has announced a basic plan for the use of Roman alphabet characters in the specific name codes when the available codes run out. However, based on the recent decrease in remaining name codes, the SICC announced that with the purpose of facilitating the smooth implementation of the use of Roman alphabet characters in the securities codes, **Roman alphabet characters will be used from 1 January 2024**, regardless of whether the available codes run out. (*2)

JASDEC Group uses securities codes for a wide range of operations such as electronic matching on trades and settlements, book-entry transfer, and clearing/settlement. Since the inclusion of Roman alphabet characters in securities codes affects a wide range of operations, we will focus on sharing information with related parties and promoting correspondence, and will conduct system tests with users who connect to the systems of JASDEC Group. We will continue to prepare for the smooth incorporation of Roman alphabet characters.

In addition, since the codes are widely used by market users, including individual investors, we would like to ask for your cooperation in order to smoothly incorporate Roman alphabet characters, for example by updating the systems for incorporating Roman alphabet characters, checking the business flow, and disseminating information within your company and to related parties.

(*1) The Securities Identification Code Committee (SICC) is a committee formed and operated by the 5 securities exchanges in Japan (Tokyo Stock Exchange, Osaka Exchange, Nagoya Stock Exchange, Fukuoka Stock Exchange and Sapporo Securities Exchange) and JASDEC. It was formed in view of public interest with the purpose of setting a common unified standard for the numbering of securities codes and sectors of public corporations and other entities. Tokyo Stock Exchange serves as the secretariat.

(*2) Decision regarding the Date of Implementing the use of Roman Alphabet Characters in Securities Codes (Partial revision on 'Guideline of Setting, Change, and Deletion of Stock and Bond Codes')
<https://www.jpx.co.jp/english/sicc/regulations/index.html>

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