

Basic Policy on Information Security

Adopted	November	1,	2007
Revised	January	5,	2009
Revised	April	18,	2016

JASDEC Group (comprising Japan Securities Depository Center, Inc. and JASDEC DVP Clearing Corporation) is required to meet high levels of public interest and reliability in view of its public nature and key role as part of the capital market settlement infrastructure. From this perspective, JASDEC Group recognizes the importance of information security and has established a “Basic Policy on Information Security” in order to protect information assets against cyberattacks and any other such threats.

1. Establishment of administrative control for information security

Officers and employees of the JASDEC Group understand their social responsibilities as part of a business organization and comply with the Law concerning Book-Entry Transfer of Bonds and Stocks, etc. and the Law Concerning Protection of Individual Information and related regulations, as well as the rules of JASDEC Group. To ensure the confidentiality, integrity and availability of information assets concomitant with the abovementioned legal and social responsibilities, JASDEC Group will assign a CISO (Chief Information Security Officer) to manage overall information security within JASDEC Group and will also establish an information security management framework led by the CISO.

2. Establishment of thorough control system for information security

JASDEC Group will take a thorough approach to protect information assets from unauthorized access, leakage, falsification, loss, destruction and interruption of operations.

3. Advancing the understanding of information security

JASDEC Group will provide information security education and training to all officers and employees who use information assets to ensure the proper conduct of operations within the context of optimal information security.

4. Implementation and maintenance of internal audits

JASDEC Group will conduct monitoring and internal audits for information security implementation and compliance with applicable laws. JASDEC Group will also carry out periodic reviews in response to rapid changes in the environment.

5. Enforcement of control over contractors

When JASDEC Group entrusts outside parties to handle information assets, JASDEC Group will select only parties that satisfy reliability requirements for such operations. In addition, JASDEC Group will monitor and periodically receive reports on their activities as part of measures to prevent the leakage of information.