

**DETAILED ENFORCEMENT RULES OF THE
BUSINESS REGULATIONS RELATING TO THE
DEPOSITORY AND BOOK-ENTRY TRANSFERS OF
FOREIGN STOCK CERTIFICATES, ETC.**

(As of September 20, 2011)

Japan Securities Depository Center, Inc.

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CHAPTER I: GENERAL PROVISIONS

Article 1: Defined Terms

The terms used in these Rules shall have the same meanings as the terms in the Business Regulations Relating to the Depository and Book-Entry Transfers of Foreign Stock Certificates, Etc. (hereinafter referred to as the “Business Regulations”).

Article 2: Submission by Electromagnetic Means

The electromagnetic means referenced in Article 8, Paragraph 1 of the Business Regulations shall include the following:

- (1) Input and output of data on the computer terminals for the purpose of utilizing the integrated Web functions (hereinafter referred to as the “Integrated Web Terminals”) provided by JASDEC.
- (2) Those methods of exchanging data, with the use of computer systems, between JASDEC and a JASDEC Participant for Foreign Stock Certificates, Etc./Share-Handling Service Agents, that transmit data as files and which are deemed appropriate by JASDEC (hereinafter referred to as the “FS File Transmission”).
- (3) Those methods of exchanging data, with the use of computer systems, between JASDEC and the JASDEC Participants for Foreign Stock Certificates, Etc., which are not described in Item 2 above and which are deemed appropriate by JASDEC (hereinafter referred to as the “Online Real-Time Connection”).
- (4) The “Target Hofuri Website Connection” (i.e., the method prescribed in Article 34, Paragraph 1, Item 1(e) of the Enforcement Rules of the Business Regulations Relating to Book-Entry Transfers of Stocks, Etc.) implemented

by a JASDEC Participant for Foreign Stock Certificates, Etc., to connect to JASDEC.

- (5) Transmission via facsimile or e-mail of notices prescribed by Article 12 of the Business Regulations between JASDEC and the issuers of the Handled Foreign Stock Certificates, Etc.
 - (6) Exchanges of data between JASDEC and the Foreign Depositories through the SWIFT network (i.e., the international communication network which is referred to as the Society for Worldwide Interbank Financial Telecommunication; the same shall apply hereinafter), or through methods deemed appropriate by JASDEC and the Foreign Depositories.
2. The electromagnetic method prescribed by these Rules, which is referenced in Article 8, Paragraph 2 of the Business Regulations shall be the notification method with the use of the Target system operated by Tokyo Stock Exchange, Inc. [*kabushiki-kaisha-tokyo-shoken-torihikijo*], which is referred to as the “Hofuri Foreign Stock Website,” (hereinafter referred to as the “Target Hofuri Foreign Stock Website”), and which can be accessed by a JASDEC Participant for Foreign Stock Certificates, Etc., electromagnetically for the purpose of receiving notice.
 3. The hours during which exchange of data can be made using the methods prescribed in the preceding two (2) Paragraphs, and restrictions thereon, shall be as set forth in Appendix 1, depending upon the data type classifications set forth in Appendix 1.

Article 3: Handling of Malfunctions

1. When JASDEC determines that a condition, under which it is impossible or difficult to transmit information using the methods prescribed in the preceding Article, has arisen, JASDEC shall transmit information using one of the methods prescribed in the following Items, depending upon the types of conditions prescribed in the following Items under which the malfunctions arose:

- (1) If the malfunctions relate to some or all of the methods prescribed in the Items under Paragraph 1 of the preceding Article:

Notice shall be given by facsimile, in writing or in the form of input/output with the use of magnetic tapes or floppy discs in the format previously prescribed by JASDEC.

- (2) If the malfunctions relates to the method prescribed in Paragraph 2 of the preceding Article:

Notice shall be given by facsimile or in writing.

2. When the provisions of the preceding Paragraph apply, JASDEC shall provide the JASDEC Participants for Foreign Stock Certificates, Etc., with notice thereof promptly by facsimile or other means.

CHAPTER II: HANDLED FOREIGN STOCK CERTIFICATES, ETC.

Article 4: Handling of Abolishment, Etc., of Handled Foreign Stock Certificates, Etc.

1. When JASDEC decides to cease handling certain Foreign Stock Certificates, Etc., in connection with the Depository and Book-Entry Transfer Business for Foreign Stock Certificates, Etc., conducted by JASDEC, pursuant to the provisions of Paragraphs 1 through 3 of Article 13 of the Business Regulations, JASDEC shall cease handling such Foreign Stock Certificates, Etc., as of the dates prescribed in the Items below, in accordance with the classifications set forth in the Items below. In such event, the legal system, etc., of the Country or Area of Residence of the issuer of the applicable Foreign Stock Certificates, Etc., shall be taken into consideration:

- (1) The Handled Foreign Stock Certificates, Etc., cease to be listed:

The date set forth in JASDEC's advance notice to the JASDEC Participants for Foreign Stock Certificates, Etc., which shall be on or after the date immediately

following the Final Settlement Date relating to the trading of the Handled Foreign Stock Certificates, Etc., at the applicable financial instruments exchange (hereinafter referred to as the “Exchange Trading”).

(2) Notwithstanding the provisions of the preceding Item, the provisions of the following Items (a) through (d) shall apply under the circumstances described therein, when the Handled Foreign Stock Certificates, Etc., cease to be listed due to bankruptcy proceedings, rehabilitation proceedings, reorganization proceedings or dissolution of the issuer of the Foreign Stock Certificates, Etc.:

(a) When the provisions of Article 13, Paragraph 3, Item 1 of the Business Regulations apply:

The date set forth in the advance notice provided by JASDEC to a JASDEC Participant for Foreign Stock Certificates, Etc., which shall be on or after the date immediately following the effective date of the capital reduction or the date on which JASDEC becomes aware of it.

(b) When the provisions of Article 13, Paragraph 3, Item 2 of the Business Regulations apply:

The date set forth in the advance notice provided to a JASDEC Participant for Foreign Stock Certificates, Etc., which shall be on or after the date immediately following the date on which the decision concerning the commencement of bankruptcy proceedings is made or the date on which JASDEC becomes aware of it.

(c) When the provisions of Article 13, Paragraph 3, Item 3 of the Business Regulations apply:

The date set forth in the advance notice provided to a JASDEC Participant for Foreign Stock Certificates, Etc., which shall be on or after the date

immediately following the date on which the completion of liquidation is registered or the date on which JASDEC becomes aware of it.

(d) When the condition is not described in Item (a), (b) or (c) above:

The date set forth in the advance notice provided by JASDEC to a JASDEC Participant for Foreign Stock Certificates, Etc., which shall be on or after the date immediately following the date on which JASDEC determines that none of the Items under Paragraph 3 of Article 13 of the Business Regulations applies to the issuer of the Foreign Stock Certificates, Etc.

2. The Deposits, Etc., and Deliveries, Etc., of the Handled Foreign Stock Certificates, Etc., prescribed in Paragraphs 1 through 3 of Article 13 of the Business Regulations shall be handled as set forth below:

(1) Handling of the Deposits, Etc.:

JASDEC shall not accept the Deposits, Etc., of the applicable Handled Foreign Stock Certificate, Etc., on or after the date immediately following the Final Trading Settlement Date relating to the Exchange Trading.

(2) Handling of the Deliveries, Etc.

A JASDEC Participant for Foreign Stock Certificates, Etc., shall submit a request for the Deliveries, Etc., on or before the day previously designated by JASDEC, which shall be on or after the date immediately following the Final Trading Settlement Date relating to the Exchange Trading; provided, however, that, if the provisions of any of the Items under Paragraph 3 of Article 13 of the Business Regulations apply to the issuer of the Foreign Stock Certificates, Etc., notwithstanding the provisions of the main text hereof, requests for the Deliveries, Etc., of the No Longer Handled Foreign Stock Certificates, Etc., may be submitted during the period between the date prescribed in Item 2 of the preceding Paragraph and the date which is determined by JASDEC after issuing public notice thereof.

3. The disposition prescribed in Article 13, Paragraph 4 of the Business Regulations shall be implemented by JASDEC without delay with respect to those No Longer Handled Foreign Stock Certificates, Etc., in connection with which no request for the Deliveries, Etc., is submitted on or before the date prescribed in the proviso to Item 2 of the preceding Paragraph.

CHAPTER III: JASDEC PARTICIPANT FOR FOREIGN STOCK CERTIFICATES, ETC.

SECTION 1: PROCEDURES FOR OPENING ACCOUNTS

Article 5: Application Procedures for Opening Account of JASDEC Participant for Foreign Stock Certificates, Etc.

1. An Applicant to Become a JASDEC Participant for Foreign Stock Certificates, Etc., (i.e., the entity that submits an application to open an account pursuant to the provisions of Article 16, Paragraph 1 of the Business Regulations; the same shall apply hereinafter) shall submit to JASDEC a prescribed document (hereinafter referred to as the “Application to Open an Account for Foreign Stock Certificates, Etc.”) in which the following matters shall be set forth in connection with the application to open an account pursuant to the provisions of Article 16, Paragraph 1 of the Business Regulations:
 - (1) Registered trade name or name.
 - (2) Registered address of the headquarter office or primary business office.
 - (3) Name and title of the registered representative.
 - (4) Specific application to have an account opened.
 - (5) Type and division of attribute (i.e., the classification for the purpose of

separating the Foreign Stock Certificates, Etc., designated by JASDEC from the other Foreign Stock Certificates, Etc., within the Account of JASDEC Participant for Foreign Stock Certificates, Etc.) of the account for which the application is submitted, and the purpose for which such account will be used.

(6) Other matters prescribed by JASDEC.

2. The documents described below shall be attached to the Application to Open an Account for Foreign Stock Certificates, Etc., prescribed in the preceding Paragraph; provided, however, that the documents prescribed in Items 1 and 2 may be omitted with JASDEC's approval:

(1) Certificate of seal impression of the representative.

(2) Certificate of the registered matters.

(3) Prescribed written covenants consenting to the following matters:

(a) Compliance with the Business Regulations and other rules prescribed by JASDEC and with the necessary measures implemented by JASDEC.

(b) Compliance with the work processing methods prescribed by JASDEC in connection with the Depository and Book-Entry Transfer Business for Foreign Stock Certificates, Etc.

(4) Prescribed documents relating to the following registered matters:

(a) Registered trade name or name.

(b) Registered address of the headquarter office or primary business office.

- (c) Name and title of the registered representative.
 - (d) Name and title of the deputy representative (limited to when a deputy representative is appointed).
 - (e) Name and title of the business administrator (i.e., the person who is in charge of the work relating to Depository and Book-Entry Transfer Business for the Foreign Stock Certificates, Etc., with JASDEC) and name and title of the business manager (i.e., the person who performs the work relating to the Depository and Book-Entry Transfer Business for Foreign Stock Certificates, Etc., with JASDEC).
 - (f) Seal used in connection with the work relating to the Depository and Book-Entry Transfer Business for Foreign Stock Certificates, Etc., with JASDEC.
 - (g) Other matters prescribed by JASDEC.
- (5) Prescribed user application form for the Target Hofuri Foreign Stock Website (except when the applicant is already a user of the Target Hofuri Foreign Stock Website).
- (6) Other documents prescribed by JASDEC.
3. An Applicant to Become a JASDEC Participant for Foreign Stock Certificates, Etc., which is submitting an application prescribed in Article 18, Paragraph 2 of the Business Regulations, shall note such fact and details of such application in the Application to Open an Account for Foreign Stock Certificates, Etc., prescribed in Paragraph 1.

Article 6: Handling of Classified Accounts

The Classified Accounts for which an application may be submitted pursuant to the provisions of Article 18, Paragraph 2 of the Business Regulations shall be those which are established or applied to be established in a JASDEC Participant’s account, and which have the same Classification Account Codes as those set forth in Appendix 2 hereto.

Article 7: Application Procedures for Opening Classified Accounts

The document prescribed by these Rules, which is referenced in Article 18, Paragraph 3 of the Business Regulations, shall be a prescribed document (hereinafter referred to as the “Application to Open a Classified Account for Foreign Stock Certificates, Etc.”) in which the following matters shall be set forth:

- (1) Registered trade name or name.
- (2) Registered address of the headquarter office or primary business office.
- (3) Name and title of the registered representative.
- (4) Specific application to have a Classified Account opened.
- (5) Account type and division of attribute of the Classified Account for which the application is submitted, and the purpose for which the Classified Account will be used.
- (6) Other matters prescribed by JASDEC.

**SECTION 2: NOTIFICATIONS, ETC., SUBMITTED BY JASDEC PARTICIPANTS
FOR FOREIGN STOCK CERTIFICATES, ETC.**

**Article 8: Books and Records Relating to the Depository and Book-Entry Transfer
Business for Foreign Stock Certificates, Etc., Conducted by JASDEC**

The books and records prescribed by these Rules and relating to the Depository and Book-Entry Transfer Business for Foreign Stock Certificates, Etc., conducted by JASDEC, referenced in Article 21 of the Business Regulations, shall be the books and records listed below:

- (1) Transfer Account Book for Foreign Stock Certificates, Etc.
- (2) Books and records relating to the Information, Etc., Concerning Beneficial Stockholders of Foreign Stock Certificates, Etc.

SECTION 3: CLOSURE OF ACCOUNT OF JASDEC PARTICIPANT FOR FOREIGN STOCK CERTIFICATES, ETC.

Article 9: Application Procedures for Closing Accounts of JASDEC Participants for Foreign Stock Certificates, Etc.

1. A JASDEC Participant for Foreign Stock Certificates, Etc., which wishes to submit an application, pursuant to the provisions of Article 22, Paragraph 1 of the Business Regulations, to close its Account of JASDEC Participant for Foreign Stock Certificates, Etc., shall submit to JASDEC a written application to close the account in a prescribed form.
2. When closing an Account of JASDEC Participant for Foreign Stock Certificates, Etc., pursuant to the provisions of Paragraph 2 or 3 of Article 22 of the Business Regulations, JASDEC shall, on or before the business day preceding the day designated by JASDEC on which the Account of JASDEC Participant for Foreign Stock Certificates, Etc., will be closed, implement a book-entry transfer to another account pursuant to the book-entry transfer request referenced in Article 17, or make Deliveries, Etc., pursuant to a request for the Deliveries, Etc., to the JASDEC Participant for Foreign Stock Certificates, Etc., whose Account of JASDEC Participant for Foreign Stock Certificates, Etc., is to be closed.

CHAPTER IV: HANDLING OF CUSTODY AND BOOK-ENTRY TRANSFERS OF

FOREIGN STOCK CERTIFICATES, ETC.

SECTION 1: DEPOSITS, ETC., OF FOREIGN STOCK CERTIFICATES, ETC.

Article 10: Instructions for Correcting or Canceling Deposit, Etc.

A JASDEC Participant for Foreign Stock Certificates, Etc., which wishes to make a correction concerning, or to cancel, any Deposits, Etc., with JASDEC of the Foreign Stock Certificates, Etc., shall issue specific instructions relating thereto to JASDEC.

Article 11: Matters to Be Recorded Digitally or Recorded in Written Entries in the Transfer Account Books for Foreign Stock Certificates, Etc.

1. The matters prescribed by these Rules, referenced in Article 27, Paragraph 3, Item 6 of the Business Regulations, shall be the matters set forth below:
 - (1) The code prescribed by JASDEC for the purpose of specifically identifying the account that relates to the Proprietary Account of a JASDEC Participant for Foreign Stock Certificates, Etc., referenced in Article 27, Paragraph 3, Item 1 of the Business Regulations.
 - (2) The code prescribed by JASDEC for the purpose of specifically identifying the issue (hereinafter referred to as the "Issue Code") referenced in Article 27, Paragraph 3, Item 2 of the Business Regulations.
2. The matters prescribed by these Rules, referenced in Article 27, Paragraph 5, Item 3 of the Business Regulations, shall be the Issue Code relating to Article 27, Paragraph 5, Item 2 of the Business Regulations.

Article 12: Request for Copies of Transfer Account Books for Foreign Stock Certificates, Etc.

A JASDEC Participant for Foreign Stock Certificates, Etc., or a Participant for Foreign Stock

Certificates of an Account Management Institution for Foreign Stock Certificates, Etc., which wishes to request that JASDEC deliver a copy of the Transfer Account Book for Foreign Stock Certificates, Etc., referenced in Article 30, Paragraph 1 of the Business Regulations, shall submit to JASDEC an application in a prescribed form. In such event, the above-referenced Participant for Foreign Stock Certificates, Etc., which desires to submit such application must do so through the Account Management Institution for Foreign Stock Certificates, Etc., at which the Account of Participant for Foreign Stock Certificates, Etc., is opened.

SECTION 2: HANDLING OF CUSTODY OF FOREIGN STOCK CERTIFICATES, ETC.

Article 13: Notification, Etc., Concerning the Account Balance

1. Pursuant to Article 43 of the Business Regulations, JASDEC shall, on each business day, notify each JASDEC Participant for Foreign Stock Certificates, Etc., of the balance remaining in its Account of JASDEC Participant for Foreign Stock Certificates, Etc.
2. Each JASDEC Participant for Foreign Stock Certificates, Etc., shall reconcile the account balance set forth in the notice issued pursuant to the preceding Paragraph and the account balance managed by it, and, in the event of any discrepancy, provide JASDEC with notice thereof immediately.

SECTION 3: COMPENSATION FOR SHORTAGE OF DEPOSITED FOREIGN STOCK CERTIFICATES, ETC.

Article 14: Ineligible Foreign Stock Certificates, Etc., Related to Deposit

The ineligible Foreign Stock Certificates, Etc., referenced in Article 45 of the Business Regulations shall be the Foreign Stock Certificates, Etc., described below. When these provisions apply, the legal system, etc., of the Country or Area of Residence of the issuer of the Foreign Stock Certificates, Etc., shall be taken into consideration when making

determinations concerning the Foreign Stock Certificates, Etc.

- (1) Foreign Stock Certificates, Etc., in connection with which an application for public peremptory notice is pending.
- (2) Foreign Stock Certificates, Etc., in connection with which a nullification decision has been made.
- (3) Foreign Stock Certificates, Etc., the published number of securities of which is different from the present stock status, due to a capital reduction, stock combination or split.
- (4) Foreign Stock Certificates, Etc., which have been nullified due to a merger, stock exchange or stock transfer where such Foreign Stock Certificates, Etc., were provided.
- (5) Foreign Stock Certificates, Etc., which have been falsified or forged.
- (6) Foreign Stock Certificates, Etc., in connection with which pledge rights have been noted.
- (7) Foreign Stock Certificates, Etc., which have been soiled or damaged.
- (8) Foreign Stock Certificates, Etc., which are deemed by the applicable Foreign Depository to be ineligible for transfer.
- (9) Foreign Stock Certificates, Etc., which are not described in the Items above, but which are deemed ineligible by JASDEC.

Article 15: Compensation to the Limited Extent Prescribed by the Board of Directors

1. The limit prescribed by the Board of Directors referenced in Article 46, Paragraph 3 of the Business Regulations shall be equal to the amount of JASDEC's surplus.

2. The amount of JASDEC's surplus referenced in the preceding Paragraph shall be equal to the amount of the net assets (i.e., the amount after the profits are distributed or the losses are processed pursuant to resolutions adopted at the ordinary general stockholders' meeting relating to the Prior Fiscal Year (defined below)) as of the last day of JASDEC's fiscal year (hereinafter in this Paragraph referred to as the "Prior Fiscal Year") immediately preceding the fiscal year during which the Incident Occurrence Date occurs, reduced by the amounts of capital, capital reserve and profit reserve (i.e., the amounts after the profits are distributed or the losses are processed pursuant to resolutions adopted at the ordinary general stockholders' meeting relating to the Prior Fiscal Year) as of the last day of the Prior Fiscal Year.
3. JASDEC shall compensate shortages of the Foreign Stock Certificates, Etc., pursuant to the provisions of Article 46, Paragraph 3 of the Business Regulations in the amount prescribed by the Board of Directors with respect to each instance, which amount shall not exceed the amount of JASDEC's surplus prescribed in the preceding Paragraph.

Article 16: Joint Compensation by Account Management Institution for Foreign Stock Certificates, Etc., for Shortages of Deposited Foreign Stock Certificates, Etc.

1. The compensation for shortages of the Deposited Foreign Stock Certificates, Etc., by the Account Management Institution for Foreign Stock Certificates, Etc., pursuant to Article 47, Paragraph 2 of the Business Regulations shall be implemented in accordance with the following provisions:

(1) Primary Compensation:

The amount (any fractional amount less than one (1) Yen shall be rounded up) shall be the total compensation amount relating to the compensation for the shortage of the Deposited Foreign Stock Certificates, Etc., by the Account Management Institution for Foreign Stock Certificates, Etc., (hereinafter in this Article referred to as the "Total Compensation Amount of Account Management Institutions for Foreign Stock

Certificates, Etc.”), divided by the number of the Account Management Institution for Foreign Stock Certificates, Etc., which will jointly implement the compensation pursuant to the provisions of Article 47, Paragraph 2 of the Business Regulations; provided, however, such amount per Account Management Institution for Foreign Stock Certificates, Etc., shall not exceed 2,000,000 Yen.

(2) Secondary Compensation:

The amount calculated pursuant to the calculation formula below (any fractional amount less than one (1) Yen shall be rounded up):

Compensation amount per Account Management Institution for Foreign Stock Certificates, Etc.=

$$(X) \times \frac{\frac{(Y)}{(Z)} \dots (a)}{\text{Total of (a)}}$$

(X): Total Compensation Amount of Account Management Institutions for Foreign Stock Certificates, Etc., reduced by the total monetary amount relating to the Primary Compensation paid pursuant to the provisions of the preceding Item.

(Y): Total number of securities of the issue of the Deposited Foreign Stock Certificates, Etc., on the Incident Occurrence Date, with respect to each Account Management Institution for Foreign Stock Certificates, Etc., during the one-year period immediately preceding the Incident Occurrence Date.

(Z): Number of business days of JASDEC during the one-year period (i.e., days other than holidays; in the case of any Account Management Institution for Foreign Stock Certificates, Etc., whose

account relating to Foreign Stock Certificates, Etc., was opened within one (1) year before the Incident Occurrence Date, the number of business days of JASDEC during the period commencing on the date on which such Account Management Institution for Foreign Stock Certificates, Etc., account was opened and ending on the Incident Occurrence Date).

2. JASDEC shall calculate the amount of the Primary Compensation per Account Management Institution for Foreign Stock Certificates, Etc., in accordance with the calculation formula set forth in Item 1 of the preceding Paragraph and provide the applicable Account Management Institutions for Foreign Stock Certificates, Etc., with notice thereof.
3. Each Account Management Institution for Foreign Stock Certificates, Etc., shall pay to JASDEC, on or before the date prescribed by JASDEC, the amount of the Primary Compensation set forth in JASDEC's notice issued pursuant to the provisions of the preceding Paragraph.
4. If the payment of money relating to the Primary Compensation by the Account Management Institution for Foreign Stock Certificates, Etc., to which the notice referenced in Paragraph 2 was issued has been confirmed, and if the Total Compensation Amount of Account Management Institutions for Foreign Stock Certificates, Etc., still cannot be supplemented in its entirety, JASDEC shall, without delay, calculate the amount of the Secondary Compensation per Account Management Institution for Foreign Stock Certificates, Etc., in accordance with the calculation formula set forth in Item 2 of Paragraph 1 and provide the applicable Account Management Institution for Foreign Stock Certificates, Etc., with notice thereof.
5. Each Account Management Institution for Foreign Stock Certificates, Etc., shall pay to JASDEC, on or before the date prescribed by JASDEC, the amount of the Secondary Compensation set forth in JASDEC's notice issued pursuant to the provisions of the preceding Paragraph.

6. When the provisions of the preceding Paragraph apply, if any of the applicable Account Management Institution for Foreign Stock Certificates, Etc., is deemed unable to make the applicable payment (hereinafter in this Paragraph referred to as the “Bankrupt Account Management Institution for Foreign Stock Certificates, Etc.”), due to the filing of a petition for the commencement of bankruptcy proceedings, commencement of rehabilitation proceedings, commencement of reorganization proceedings, etc., under the provisions of applicable laws (the legal system, etc., of the Country or Area of Residence of the issuer of the Foreign Stock Certificates, Etc., shall be taken into consideration), the amount payable by the Bankrupt Account Management Institution for Foreign Stock Certificates, Etc. (excluding any amount actually paid by such Bankrupt Account Management Institution for Foreign Stock Certificates, Etc.) shall be added to the total monetary amount of the Secondary Compensation to be paid by the Account Management Institution for Foreign Stock Certificates, Etc. (excluding the Bankrupt Account Management Institution for Foreign Stock Certificates, Etc.) and such total amount shall be used to calculate the amount of the Secondary Compensation per Account Management Institution for Foreign Stock Certificates, Etc. (other than the Bankrupt Account Management Institution for Foreign Stock Certificates, Etc.) in accordance with the calculation formula set forth in Item 2 of Paragraph 1, and JASDEC shall provide the applicable Account Management Institution for Foreign Stock Certificates, Etc. (other than the Bankrupt Account Management Institution for Foreign Stock Certificates, Etc.), with notice of such amount, reduced by the amount referenced in the preceding Paragraph.
7. Each of the applicable Account Management Institutions for Foreign Stock Certificates, Etc., shall pay to JASDEC, on or before the date prescribed by JASDEC, the amount set forth in such notice.

SECTION 4: BOOK-ENTRY TRANSFERS

Article 17: Procedures for Submitting to JASDEC Requests for Book-Entry Transfers

1. The requests for book-entry transfers prescribed by these Rules, referenced in Article

53, Paragraph 1, of the Business Regulations, shall refer to those which are listed on Appendix 3 hereto, and the timeframe for processing and other matters relating to the handling thereof shall be as set forth in Appendix 3, depending upon the types of book-entry transfer requests listed in Appendix 3.

2. A JASDEC Participant for Foreign Stock Certificates, Etc., may submit book-entry transfer requests directly to JASDEC, in accordance with the provisions prescribed by JASDEC, based on the settlement conditions reconciliation results generated by the Pre-Settlement Matching System (i.e., the system which processes the work relating to the reconciliation of settlement conditions and the transmission and receipt of information implemented by JASDEC with respect to stocks and other securities and transactions relating thereto).

Article 18: Timing, Etc., of Recording Digitally or Recording in Written Entries the Applicable Matters in The Transfer Account Books for Foreign Stock Certificates, Etc.

The applicable matters shall be recorded digitally or recorded in written entries, and notices shall be issued, pursuant to the provisions of Article 53, Paragraph 3, of the Business Regulations in accordance with the timeframe set forth in Appendix 3.

Article 19: Notice of Temporary Suspension, and Cancellation of Temporary Suspension, of Book-Entry Transfers

1. Those prescribed by these Rules, that are referenced in Article 54 of the Business Regulations, shall be the book-entry transfer requests, other than the “Prior-Day Balance Adjustment Request,” “Same-Day Balance Adjustment Request,” “Request for Completion of Delivery of Securities Scheduled to Be Received,” “Prior-Day Securities Collateral Designation Request/Prior-Day Securities Collateral Designation Cancellation Request” and “Same-Day Securities Collateral Designation Request/Same-Day Securities Collateral Designation Cancellation Request” set forth in Appendix 3.
2. Any JASDEC Participant for Foreign Stock Certificates, Etc., which desires to

submit notice of a temporary suspension of processing relating to the book-entry transfers subject to the “Prior-Day Book-Entry Transfer Request,” “Same-Day Book-Entry Transfer Request,” “Postdated General Book-Entry Transfer Request – Linked” or “Same-Day General Book-Entry Transfer Request – Linked” set forth in Appendix 3 (hereinafter in this Article referred to as the “Temporary Suspension of Book-Entry Transfers”), or to designate the time at which the Temporary Suspension of Book-Entry Transfers is cancelled, shall issue such notice or designation to JASDEC using the methods described in the Items below, depending upon the classifications set forth in the following Items:

- (1) When such notice or designation is to be issued simultaneously with the “Prior-Day Book-Entry Transfer Request” or “Same-Day Book-Entry Transfer Request”:

Methods prescribed by JASDEC for submitting such book-entry transfer requests with a clear indication of such notice or designation.

- (2) When such notice or designation is to be issued after the submission of the “Prior-Day Book-Entry Transfer Request” or “Same-Day Book-Entry Transfer Request” (limited to those which relate to the book-entry transfer subject to the applicable book-entry transfer request is still in the “Incomplete Book-Entry Transfer” (i.e., the “Incomplete Book-Entry Transfer” referenced in Appendix 3; the same shall apply hereinafter in this Section) status (hereinafter in this Section referred to as the “Incomplete Transfer Portion”) on the book-entry transfer date):

Methods prescribed by JASDEC for issuing notice of the Temporary Suspension of Book-Entry Transfers with a clear indication of such notice or designation.

- (3) When such notice or designation is to be issued after the submission of the “Postdated General Book-Entry Transfer Request – Linked” or “Same-Day General Book-Entry Transfer Request – Linked” (where such notice or

designation is to be issued on the book-entry transfer date, limited to those which relate to the Incomplete Transfer Portion):

Methods prescribed by JASDEC for issuing notice of the Temporary Suspension of Book-Entry Transfers with a clear indication of such notice or designation.

3. A JASDEC Participant for Foreign Stock Certificates, Etc., which desires to cancel the Temporary Suspension of Book-Entry Transfers (except through the designation referenced in the preceding Paragraph; the same shall apply hereinafter in this Paragraph), shall submit to JASDEC notice of cancellation of the Temporary Suspension of Book-Entry Transfers in accordance with the provisions prescribed by JASDEC.

Article 20: Designated Financial Instruments Clearing Organizations

Those designated by these Rules, referenced in Article 55 of the Business Regulations, shall be those listed below:

- (1) Japan Securities Clearing Corporation [*kabushiki-kaisha-nihon-shoken-kuriaringu-kiko*] (hereinafter referred to as “JSCC”).
- (2) JASDEC DVP Clearing Corporation [*kabushiki-kaisha-hofuri-kuriaringu*] (hereinafter referred to as “Hofuri Clearing”).

Article 21: Book-Entry Transfer Requests Issued by JSCC

1. JASDEC shall separately prescribe the methods to be used by JSCC for submitting, pursuant to the provisions of Article 55 of the Business Regulations, requests for book-entry transfers from the Account of JASDEC Participants for Foreign Stock Certificates, Etc., of the Transferor Cash Clearing Participants (i.e., those clearing participants of JSCC with cash clearing qualifications prescribed in JSCC’s Business Manual (hereinafter referred to as the “Cash Clearing Participants”), which are a

JASDEC Participant for Foreign Stock Certificates, Etc., acting as the transferors of the Foreign Stock Certificates, Etc.; the same shall apply hereinafter) to the Account of JASDEC Participant for Foreign Stock Certificates, Etc., of JSCC, and requests for book-entry transfers from the Account of JASDEC Participant for Foreign Stock Certificates, Etc., of JSCC to the JASDEC Foreign Stock Certificates, Etc., Participants' Accounts of the Transferee Cash Clearing Participants (i.e., those Cash Clearing Participants which are a JASDEC Participant for Foreign Stock Certificates, Etc., acting as the transferees of the Foreign Stock Certificates, Etc.; the same shall apply hereinafter).

2. When JSCC submits a request for book-entry transfers from the Account of JASDEC Participant for Foreign Stock Certificates, Etc., of JSCC to the Account of JASDEC Participant for Foreign Stock Certificates, Etc., of a Transferee Cash Clearing Participant pursuant to the preceding Paragraph, for the purpose of implementing a DVP Settlement (i.e., the settlement prescribed by JSCC as settlement effected by way of JSCC's delivery of securities to a Transferee Cash Clearing Participant up to the amount, etc., of securities and money, delivered by such Transferee Cash Clearing Participant to JSCC; the same shall apply hereinafter), it is permissible to attach a condition to such request for book-entry transfers that some or all of such book-entry transfers shall be implemented up to the book-entry transfer maximum calculated pursuant to the provisions prescribed by JSCC.
3. When the provisions of the preceding Paragraph apply, JSCC shall provide JASDEC, in compliance with the provisions prescribed by JASDEC, with information necessary for the processing of the applicable book-entry transfer request.

Article 22: JSCC's Transferor Cash Clearing Participants' Notice of Temporary Suspension, and Cancellation of Temporary Suspension, of Book-Entry Transfers

1. Any Transferor Cash Clearing Participant which desires to issue notice of a temporary suspension of processing relating to the book-entry transfers subject to the book-entry transfer request prescribed in Paragraph 1 of the preceding Article (hereinafter in this Article and the following Article referred to as the "Temporary

Suspension of Book-Entry Transfers”), or to designate the time at which the applicable Temporary Suspension of Book-Entry Transfers is cancelled, shall issue such notice or designation to JASDEC on the day preceding the book-entry transfer date or on the book-entry transfer date, using the methods prescribed by JASDEC for the issuance of notice of the Temporary Suspension of Book-Entry Transfers with a clear indication of such notice or designation (where such notice or designation is to be issued on the book-entry transfer date, limited to those which relate to book-entry transfers subject to the applicable book-entry transfer request, which have not been completed).

2. A Transferor Cash Clearing Participant, which desires to cancel the Temporary Suspension of Book-Entry Transfers (except through the designation referenced in the preceding Paragraph; the same shall apply hereinafter in this Paragraph), shall submit to JASDEC notice of cancellation of the Temporary Suspension of Book-Entry Transfers in accordance with the provisions prescribed by JASDEC.

Article 23: Book-Entry Transfers, Etc., Pursuant to JSCC’s Requests for Book-Entry Transfers

1. Upon its receipt of a book-entry transfer request prescribed in Paragraph 1 of Article 21 from JSCC, JASDEC shall, in accordance with the book-entry transfer request classifications set forth in the Items below, at the applicable times set forth in such Items, record the necessary matters relating to the book-entry transfer request in the Account of JASDEC Participants for Foreign Stock Certificates, Etc., of the Transferor Cash Clearing Participant, the Transferee Cash Clearing Participant and JSCC:

- (1) “Prior-Day DVP Book-Entry Transfer Request (Market Trading)” prescribed in Appendix 3: Upon the commencement of business on the book-entry transfer date.
- (2) “Same-Day DVP Book-Entry Transfer Request (Market Trading)” prescribed in Appendix 3: Immediately after the book-entry transfer

request is received.

2. Notwithstanding the provisions of the preceding Paragraph, in the event that a book-entry transfer could not be implemented with respect to some of the number securities included in the total number to be transferred by way of a book-entry transfer pursuant to the applicable book-entry transfer request at the times prescribed in the Items under the preceding Paragraph due to the insufficient account balance in the account where the decrease relating to such book-entry transfer request should be recorded, etc., or that notice of the Temporary Suspension of Book-Entry Transfers has been issued, the necessary matters relating to such book-entry transfer request shall be recorded in the Account of JASDEC Participant for Foreign Stock Certificates, Etc., of the Transferor Cash clearing Participant, the Transferee Cash clearing Participant and JSCC only if a sufficient balance that can be transferred by way of a book-entry transfer comes to exist in the applicable account, or the notice of the Temporary Suspension of Book-Entry Transfers is cancelled, on the book-entry transfer date.

Article 24: DVP Book-Entry Transfer Requests Issued by Hofuri Clearing

1. JASDEC shall separately prescribe the methods to be used by Hofuri Clearing for submitting, pursuant to the provisions of Article 55 of the Business Regulations, requests for book-entry transfers (hereinafter in this Section referred to as the “DVP Book-Entry Transfer Requests”) from the Account of JASDEC Participant for Foreign Stock Certificates, Etc., of the Transferor DVP Participants (i.e., those JASDEC Participant for Foreign Stock Certificates, Etc., which are qualified as settlement participants under Hofuri Clearing’s Business Manual (hereinafter referred to as the “DVP Participants”), and which act as the transferors of the Foreign Stock Certificates, Etc., in the Transactions Subject to Clearing prescribed in the following Paragraph; the same shall apply hereinafter) to the Account of JASDEC Participant for Foreign Stock Certificates, Etc., of Hofuri Clearing (hereinafter in this Section referred to as the “DVP Account”).
2. When submitting a DVP Book-Entry Transfer Request, Hofuri Clearing may attach a

condition to the DVP Book-Entry Transfer Request that the book-entry transfer shall be implemented upon the satisfaction of the Book-Entry Transfer Implementation Conditions (i.e., those prescribed by Hofuri Clearing in its Business Manual as conditions relating to the assumption of the obligations arising from the Transactions Subject to Clearing (i.e., those prescribed by Hofuri Clearing in its Business Manual as Subject Transactions) relating to the DVP Book-Entry Transfer Request; the same shall apply hereinafter).

3. When the provisions of the preceding Paragraph apply, Hofuri Clearing shall provide JASDEC, in compliance with the provisions prescribed by JASDEC, with information necessary for the processing pursuant to the applicable DVP Book-Entry Transfer Request.

Article 25: Hofuri Clearing’s Transferor DVP Participants’ Notice of Temporary Suspension, and Cancellation of Temporary Suspension, of Book-Entry Transfers

1. Any Transferor DVP Participant which desires to issue, with respect to a DVP Book-Entry Transfer Request, notice of a temporary suspension of processing relating to the book-entry transfers subject to such book-entry transfer request (hereinafter in this Article and the following Article referred to as the “Temporary Suspension of Book-Entry Transfers”), or to designate the time at which the applicable Temporary Suspension of Book-Entry Transfers is cancelled, shall issue such notice or designation to JASDEC, using the methods prescribed in the following Items, depending upon the classifications set forth in such Items:

- (1) When such notice or designation is to be issued simultaneously with the DVP Book-Entry Transfer Request:

Methods prescribed by JASDEC for issuing notice of the Temporary Suspension of Book-Entry Transfers through Hofuri Clearing with a clear indication of such notice or designation.

- (2) When such notice or designation is to be issued after the DVP Book-Entry

Transfer Request is submitted (where such notice or designation is to be issued on the book-entry transfer date, limited to those relating to the Incomplete Transfer Portion):

Methods prescribed by JASDEC for issuing notice of the Temporary Suspension of Book-Entry Transfers with a clear indication of such notice or designation.

2. A Transferor DVP Participant, which desires to cancel the Temporary Suspension of Book-Entry Transfers (except through the designation referenced in the preceding Paragraph; the same shall apply hereinafter in this Paragraph), shall issue to JASDEC notice of cancellation of the Temporary Suspension of Book-Entry Transfers in accordance with the provisions prescribed by JASDEC.

Article 26: Book-Entry Transfers, Etc., Pursuant to DVP Book-Entry Transfer Requests

1. Upon its receipt of the DVP Book-Entry Transfer Request from Hofuri Clearing, JASDEC shall process it as set forth below:
 - (1) Record the decrease and record the increase in the Account of JASDEC Participant for Foreign Stock Certificates, Etc., of the Transferor DVP Participant and the DVP Account (i) upon the commencement of business on the book-entry transfer date, with respect to the “Postdated DVP Book-Entry Transfer Request” or “Same-Day DVP Book-Entry Transfer Request” (limited to those received by JASDEC before 9 a.m. on the book-entry transfer date) prescribed in Appendix 3; and (ii) immediately, with respect to the “Same-Day DVP Book-Entry Transfer Request” (limited to those received by JASDEC at or after 9 a.m. on the book-entry transfer date).
 - (2) Notwithstanding the provisions of the preceding Item, if the Book-Entry Transfer Implementation Conditions relating to the applicable DVP Book-Entry Transfer Request have not been satisfied, the decrease shall be

recorded and the increase shall be recorded in such Account of JASDEC Participant for Foreign Stock Certificates, Etc., and the DVP Account as soon as the Book-Entry Transfer Implementation Conditions are satisfied.

2. If the Book-Entry Transfer Implementation Conditions relating to the DVP Book-Entry Transfer Request prescribed in Item 2 of the preceding Paragraph are not satisfied at or before 2 p.m. on the book-entry transfer date, JASDEC shall treat such DVP Book-Entry Transfer Request as though it had never been submitted.

Article 27: Book-Entry Transfers Relating to Designated Collateral Securities

1. A DVP Participant shall, when submitting a book-entry transfer application for the purpose of pledging to Hofuri Clearing a security interest in the Foreign Stock Certificates, Etc., recorded in the Account of JASDEC Participant for Foreign Stock Certificates, Etc., of such DVP Participant (hereinafter in this Section referred to as the “Designated Collateral Securities”), submit to JASDEC the “Prior-Day Securities Collateral Designation Request” or “Same-Day Securities Collateral Designation Request” prescribed in Appendix 3 on the business day preceding the book-entry transfer date or on the book-entry transfer date.
2. Hofuri Clearing shall, when submitting an application for book-entry transfers of the Foreign Stock Certificates, Etc., which have been recorded as the Designated Collateral Securities in the DVP Account pursuant to the request prescribed in the preceding Paragraph, to the Account of JASDEC Participant for Foreign Stock Certificates, Etc., of the applicable DVP Participant for the purpose of returning them pursuant to the request of such DVP Participant referenced in the preceding Paragraph, on the business day preceding the book-entry transfer date or on the book-entry transfer date, submit to JASDEC as a book-entry transfer request the “Prior-Day Securities Collateral Designation Cancellation Request” or “Same-Day Securities Collateral Designation Cancellation Request” prescribed in Appendix 3.

Article 28: Book-Entry Transfers Relating to Completion of Securities Transfers

1. Hofuri Clearing shall, when submitting a book-entry transfer request for the purpose of delivering the Foreign Stock Certificates, Etc., relating to the settlement of a Transaction Subject to Settlement, submit to JASDEC, using the method prescribed by JASDEC, the Same-Day Book-Entry Transfer Request to cause the Foreign Stock Certificates, Etc., recorded in the DVP Account to be transferred to the Participant Account of the Transferee DVP Participant (i.e., the DVP Participant which acts as the transferee participant of the Foreign Stock Certificates, Etc., in the Transaction Subject to Settlement; the same shall apply hereinafter).

2. Notwithstanding the provisions of the preceding Paragraph, Hofuri Clearing shall, when submitting a book-entry transfer application for the purpose of delivering the Foreign Stock Certificates, Etc., in connection with the settlement of a Transaction Subject to Settlement pursuant to a request submitted by the Transferee DVP Participant to Hofuri Clearing, submit to JASDEC the “Request for Completion of Delivery of Securities Scheduled to be Received” prescribed in Appendix 3, as a book-entry transfer application.

Article 29: Book-Entry Transfers Between Balances of Securities Subject to Book-Entry Transfers

1. When a DVP Participant submits a DVP Book-Entry Transfer Request to JASDEC together with an application for a book-entry transfer from the DVP Account to the Account of JASDEC Participant for Foreign Stock Certificate, Etc., of the Transferor DVP Participant relating to the DVP Book-Entry Transfer Request, Hofuri Clearing shall submit to JASDEC a book-entry transfer request in a prescribed form, using the method prescribed by JASDEC.

2. When a DVP Participant submits an application for a book-entry transfer to another (other than Hofuri Clearing’s), together with a request for a book-entry transfer from the DVP Account to the Account of JASDEC Participant for Foreign Stock Certificate, Etc., of such DVP Participant, Hofuri Clearing shall submit to JASDEC a book-entry transfer request in a prescribed form, using the method prescribed by JASDEC.

3. When Hofuri Clearing submits a book-entry transfer request pursuant to the provisions of the preceding two (2) Paragraphs, it is permissible to attach a condition that, when the conditions prescribed by Hofuri Clearing relating to such book-entry transfer request are satisfied, the necessary matters relating to the book-entry transfer request prescribed in the preceding two (2) Paragraphs shall be recorded immediately before the necessary matters relating to the applicable DVP Book-Entry Transfer Request are recorded in the Foreign Stock Certificates, Etc., Transfer Account Book maintained by JASDEC up to the maximum limited extent relating to book-entry transfers calculated pursuant to the provisions prescribed by Hofuri Clearing. In such event, Hofuri Clearing shall provide JASDEC, in compliance with the provisions separately prescribed by JASDEC, with information necessary for the implementation of the book-entry transfers pursuant to such book-entry transfer request.

4. Upon its receipt from Hofuri Clearing of the book-entry transfer request prescribed in the latter part of Paragraph 1 or the latter part of Paragraph 2, JASDEC shall, in compliance with the conditions attached to the book-entry transfer request pursuant to the provisions of the preceding Paragraph, record the necessary matters relating to the DVP Account and the Account of JASDEC Participant for Foreign Stock Certificate, Etc., of the DVP Participant, to which the book-entry transfer is made, in Transfer Account Book for the Foreign Stock Certificates, Etc., maintained by JASDEC. In such event, if the applicable conditions attached to the applicable book-entry transfer request have not been satisfied, JASDEC shall treat it as an Incomplete Transfer, and, if such conditions are not satisfied with respect to the Incomplete Transfer Portion by the time separately prescribed by JASDEC, such book-entry transfer request will be treated as though it had never been submitted.

Article 30: Division Management Securities

1. A JASDEC Participant for Foreign Stock Certificates, Etc., may submit to JASDEC an application to cause specified issues of the Foreign Stock Certificates, Etc. (i.e., Foreign Stock Certificates, Etc., recorded in the Holding Account (i.e., the division

of attribute of the column where the Foreign Stock Certificates, Etc., that needs to be recorded in the Proprietary Account of the Account of JASDEC Participant for Foreign Stock Certificate, Etc. (excluding those to be recorded in the Trust Account defined below), are to be recorded), but excluding those that are recorded to be [held] in trust; the same shall apply hereinafter in this Article), that are recorded in its Account of JASDEC Participant for Foreign Stock Certificate, Etc. (excluding any Trust Account (i.e., the division of attribute of the column where the Foreign Stock Certificates, Etc., that needs be recorded in the Proprietary Account of the Account of JASDEC Participant for Foreign Stock Certificate, Etc., and which Foreign Stock Certificates, Etc., constitute trust assets, are to be recorded, in case where the JASDEC Participants for Foreign Stock Certificates, Etc., is a trustee of a trust)), to be designated as “Division Management Securities” (i.e., those Foreign Stock Certificates, Etc., which are recorded in such account, and with respect to which no decrease will be recorded pursuant to any book-entry transfer request (except in the event that the JASDEC Participant for Foreign Stock Certificates, Etc., whose Account of JASDEC Participant for Foreign Stock Certificate, Etc., is where the decrease pursuant to such book-entry transfer request will be recorded, is a Designated Financial Instruments Clearing Organization); the same shall apply hereinafter in this Section) (hereinafter referred to as the “Application for Designation of Division Management Securities”), and to submit an application for cancellation of such designation (hereinafter in this Section referred to as the “Application for Cancellation of Designation of Division Management Securities”).

2. The Application for Designation of Division Management Securities must be submitted on the date on which the designation is to become effective (hereinafter in this Section referred to as the “Designation Date”) or the business day preceding such date.
3. The Application for Cancellation of Designation of Division Management Securities must be submitted on the date on which the designation is to be cancelled (hereinafter in this Section referred to as the “Designation Cancellation Date”) or the business day preceding such date.

4. Upon its receipt of the Application for Designation of Division Management Securities from a JASDEC Participant for Foreign Stock Certificates, Etc., JASDEC shall process the designation of the Division Management Securities in the Account of JASDEC Participant for Foreign Stock Certificate, Etc., and provide the JASDEC Participant for Foreign Stock Certificates, Etc., that submitted the application with notice thereof, (i) upon the commencement of business on the Designation Date with respect to the Application for Designation of Division Management Securities submitted on the business day preceding the Designation Date; and (ii) at the time of receipt of the Application for Designation of Division Management Securities with respect to the Application for Designation of Division Management Securities submitted on the Designation Date. Notwithstanding the foregoing, if the number of the securities of the specified issue of Foreign Stock Certificates, Etc., recorded in the applicable account is less than the number to be designated when the Application for Designation of Division Management Securities is processed, it will be processed when the balance in the account comes to be equal to or more than the number of the securities to be designated (such processing is hereinafter in this Section referred to as the “Incomplete Designation”); if the balance does not become equal to or more than the number of securities to be designated with respect to the portion subject to the application, which remains in the Incomplete Designation status, by the time when the conduct of the book-entry transfer business ends on the Designation Date, such Application for Designation of Division Management Securities shall be treated as though it had never been submitted (the situation where such application is so treated to have never been submitted is hereinafter in this Section referred to as the “Impossible Designation”).
5. Upon its receipt of the Application for Cancellation of Designation of Division Management Securities from a JASDEC Participant for Foreign Stock Certificates, Etc., JASDEC shall process the cancellation of the designation of the Division Management Securities in the Account of JASDEC Participant for Foreign Stock Certificate, Etc., and provide the JASDEC Participant for Foreign Stock Certificates, Etc., which submitted the application with notice thereof.
6. When any Application for Designation of Division Management Securities submitted

on the day preceding the Designation Date is treated as the Incomplete Designation under the provisions of Paragraph 4, JASDEC shall provide the JASDEC Participant for Foreign Stock Certificates, Etc., which submitted the application with notice setting forth the details concerning the processing of the Incomplete Designation, upon the commencement of business on the Designation Date.

7. When any Application for Designation of Division Management Securities is treated as the Impossible Designation under the provisions of Paragraph 4, JASDEC shall provide the JASDEC Participant for Foreign Stock Certificates, Etc., which submitted the application with notice setting forth the details concerning the processing of the Incomplete Designation, upon the commencement of business on the Designation Date.
8. On the days on which restrictions are imposed on book-entry transfers of specified issues of the Foreign Stock Certificates, Etc., JASDEC shall not implement the Division Management Securities designation or cancellation with respect to such issues of the Foreign Stock Certificates, Etc.
9. A JASDEC Participant for Foreign Stock Certificates, Etc., must submit the Application for Cancellation of Designation of Division Management Securities with respect to all of the Division Management Securities relating to the applicable issues of the Foreign Stock Certificates, Etc., on or before the business day preceding the day on which restrictions are imposed on book-entry transfers of specified issues of the Foreign Stock Certificates, Etc.

Article 31: Reserve Balance

1. A JASDEC Participant for Foreign Stock Certificates, Etc., may submit to JASDEC an application to establish the total number (hereinafter in this Section referred to as the "Reserve Balance") of the Foreign Stock Certificates, Etc., which are recorded in its Account of JASDEC Participant for Foreign Stock Certificate, Etc. (other than a Trust Account), or of the Foreign Stock Certificates, Etc., which are recorded after the processing prescribed in Paragraph 4 is completed (excluding the Division

Management Securities or those which are recorded in the Holding Account with recorded trust information), with respect to which no decrease will be recorded pursuant to a book-entry transfer request (or to change the Reserve Balance; the same shall apply hereinafter) (hereinafter in this Section referred to as the “Reserve Balance Establishment Application”), or an application to cancel such establishment (hereinafter in this Section referred to as the “Reserve Balance Establishment Cancellation Application”).

2. The Reserve Balance Establishment Application must be submitted on the date on which the Reserve Balance is to be established (hereinafter in this Section referred to as the “Reserve Establishment Date”) or the business day preceding such date.
3. The Reserve Balance Establishment Cancellation Application must be submitted on the date on which the Reserve Balance is to be cancelled (hereinafter in this Section referred to as the “Establishment Cancellation Date”) or the business day preceding such date.
4. Upon its receipt of the Reserve Balance Establishment Application from a JASDEC Participant for Foreign Stock Certificates, Etc., JASDEC shall implement the procedures to cause the below-referenced account balance, up to the number designated in the application (hereinafter in this Section referred to as the “Actually Reserved Balance”), to become the Reserve Balance: (i) the account balance existing at or after the commencement of business on the Reserve Establishment Date, with respect to any Reserve Balance Establishment Application submitted on the business day preceding the Reserve Establishment Date; and (ii) the account balance existing at or after the time of its receipt of the Reserve Balance Establishment Application, with respect to any Reserve Balance Establishment Application submitted on the Reserve Establishment Date.
5. Upon its receipt of the Reserve Balance Establishment Cancellation Application from a JASDEC Participant for Foreign Stock Certificates, Etc., JASDEC shall implement the procedures to cancel the establishment of the Reserve Balance and to cause the Actually Reserved Balance existing at the time of such cancellation to become

subject to the recordation of decreases pursuant to book-entry transfer requests, (i) upon the commencement of business on the Establishment Cancellation Date, with respect to any Reserve Balance Establishment Cancellation Application submitted on the business day preceding the Establishment Cancellation Date; and (ii) immediately after its receipt of the Reserve Balance Establishment Cancellation Application, with respect to any Reserve Balance Establishment Cancellation Application submitted on the Establishment Cancellation Date.

6. After processing the establishment of the Reserve Balance pursuant to the Reserve Balance Establishment Application, JASDEC shall provide the JASDEC Participant for Foreign Stock Certificates, Etc., which submitted the application with notice thereof, (i) upon the commencement of business on the Reserve Establishment Date, with respect to any application submitted on the business day preceding the Reserve Establishment Date; and (ii) immediately after the processing is completed, with respect to any application submitted on the Reserve Establishment Date.
7. After processing the cancellation of the Reserve Balance pursuant to the Reserve Balance Establishment Cancellation Application, JASDEC shall provide the JASDEC Participant for Foreign Stock Certificates, Etc., which submitted the application with notice thereof, (i) upon the commencement of business on the Establishment Cancellation Date, with respect to any application submitted on the business day preceding the Establishment Cancellation Date; and (ii) immediately after the processing is completed, with respect to any application submitted on the Establishment Cancellation Date.
8. On the days on which restrictions are imposed on book-entry transfers of specified issues of the Foreign Stock Certificates, Etc., JASDEC shall not establish or cancel the Reserve Balance with respect to such issues of the Foreign Stock Certificates, Etc.
9. A JASDEC Participant for Foreign Stock Certificates, Etc., must submit the Reserve Balance Establishment Cancellation Application with respect to the establishment of the Reserve Balance relating to the applicable issues in its entirety, on or before the business day preceding the day on which restrictions are imposed on book-entry

transfers of specified issues of Foreign Stock Certificates, Etc.

Article 32: Special Rules Relating to DVP Participants Concerning Reserve Balance

1. Upon its receipt of the Reserve Balance Establishment Application from a DVP Participant (i.e., the DVP Participant prescribed in Article 8, Paragraph 1, of Hofuri Clearing's Business Manual; the same shall apply hereinafter), JASDEC shall, when implementing the procedures relating to the Reserve Balance prescribed in Paragraph 4 of the preceding Article, in accordance with the provisions of Hofuri Clearing's Business Manual, implement such procedures in order to establish the Reserve Balance also with respect to the account balance of the DVP Account (limited to that portion of the balance which is prescribed by Hofuri Clearing as the portion relating to the DVP Participant's Reserve Balance Establishment Application) for the same number of securities as those of the Reserve Balance set forth in the application.
2. When the provisions of the preceding Paragraph apply, with respect to the Actually Reserved Balance of an Account of JASDEC Participant for Foreign Stock Certificate, Etc., of a JASDEC Participant for Foreign Stock Certificates, Etc., the total of the Actually Reserved Balance relating to the account balance of such account in the DVP Account and the Actually Reserved Balance in such account of the DVP Participant shall be treated as the Actually Reserved Balance of each, and book-entry transfers from the account balance of such account in the DVP Account to the account balance of such account of such DVP Participant, and vice versa, shall be treated as the account balance which should be transferred, by way of a book-entry transfer, pursuant to such book-entry transfer request where the Actually Reserved Account is the account balance subject to such book-entry transfer.

Article 33: Designation and Cancellation of Pool Balance

1. If an application from a DVP Participant referenced in any of the Items below is received, and if it is treated as an Incomplete Transfer or Incomplete Designation (hereinafter in this Section referred to as the "Incomplete Transfer, Etc.") because the conditions set forth in the Items are not satisfied, JASDEC shall, when such

conditions are satisfied, designate, with respect to each Account of JASDEC Participant for Foreign Stock Certificate, Etc., in the order of JASDEC's receipt of such applications, the balance (hereinafter in this Section referred to as the "Pool Balance") which should be deducted from the Securities Balance Subject to Book-Entry Transfers in order to process the book-entry transfers or designation relating to such application:

- (1) Book-entry transfer applications (limited to those to be implemented pursuant to the DVP Book-Entry Transfer Requests):

Book-Entry Transfer Implementation Conditions attached to the above-referenced book-entry transfer applications, other than those which relate to the Securities Balance Subject to Book-Entry Transfers.

- (2) Book-entry transfer applications (other than those referenced in the preceding Item) and Application for Designation of Division Management Securities:

Those conditions which were attached to the prescribed book-entry transfer applications submitted to JASDEC simultaneously with the submission of the above-referenced applications, other than those which relate to the Securities Balance Subject to Book-Entry Transfers.

2. A DVP Participant shall, if it desires to cancel the Pool Balance in order to satisfy the conditions relating to the Securities Balance Subject to Book-Entry Transfers prescribed in the Items under the preceding Paragraph with respect to the applications described in the Items under the preceding Paragraph (other than those applications with respect to which the Pool Designation was implemented pursuant to the provisions of the preceding Paragraph), submit an application to cancel the Pool Balance (hereinafter in this Section referred to as the "Pool Balance Cancellation Application") on the book-entry transfer date or the Designation Date relating to the applications described in the Items under the preceding Paragraph.

3. JASDEC shall, immediately upon its receipt of the Pool Balance Cancellation Application, process the cancellation of the designation of the Pool Balance designated pursuant to Paragraph 1, with respect to the application which relates to the Pool Balance Cancellation Application and which is described in any of the Items under Paragraph 1.

SECTION 5: DELIVERIES, ETC., OF FOREIGN STOCK CERTIFICATES, ETC.

Article 34: Instructions for Correcting or Canceling Requests for Deliveries, Etc.

A JASDEC Participant for Foreign Stock Certificates, Etc., which wishes to make a correction concerning, or to cancel, any request for Deliveries, Etc., of the Foreign Stock Certificates, Etc., submitted to JASDEC shall issue specific instructions relating thereto to JASDEC.

Article 35: Handling of Requests for Deliveries, Etc., from JASDEC Participant for Foreign Stock Certificates, Etc., in the Event of Insufficient Balance

1. If JASDEC receives from a Participant for Foreign Stock Certificates, Etc., a request for Deliveries, Etc., of the Foreign Stock Certificates, Etc., when the account balance relating such request is insufficient, JASDEC shall record digitally or record in written entries the necessary matters in the Foreign Stock Certificates, Etc., Transfer Account Book relating to such JASDEC Participant for Foreign Stock Certificates, Etc., when the applicable account balance comes to exist.
2. When the provisions of the preceding Paragraph apply, the Deliveries, Etc., shall be treated as incomplete; if the account balance sufficient for the Deliveries, Etc., does not come to exist by 3:30 p.m. on the day on which the instructions relating to the Deliveries, Etc., request were issued, such Deliveries, Etc., will be deemed impossible, and such request for Deliveries, Etc., shall be treated as though it had never been submitted.

Article 36: Order by Which Book-Entry Transfers, Etc., Are Processed

1. JASDEC shall comply with the provisions of the Items below when implementing the processing referenced in the following Items with respect to the same issue:

(1) The following shall be processed in the order set forth in Appendix 4:

The processing listed on Appendix 4 upon the commencement of business on any business day;

“Prior-Day Book-Entry Transfer Requests,” “Prior-Day Balance Adjustment Requests,” “Postdated General Book-Entry Transfer Requests – Linked” and “Postdated DVP Book-Entry Transfer Requests” that are in the “Incomplete Delivery, Etc.,” or “Incomplete Book-Entry Transfer” status (i.e., the “Incomplete Book-Entry Transfer” status prescribed in Item 2 of Paragraph 2 of Article 19; the same shall apply hereinafter in this Article) after the commencement of business on any business day; and

“Prior-Day Division Management Securities Designation Request / Prior-Day Division Management Securities Designation Cancellation Requests” and “Prior-Day Balance Reserve Designation Request / Prior-Day Balance Reserve Designation Cancellation Requests” which are in the “Incomplete Designation” status (i.e., the “Incomplete Designation” status prescribed in Paragraph 4 of Article 30; the same shall apply hereinafter in this article) after the commencement of business on any business day.

(2) The following shall be processed in the order received by JASDEC, after processing the requests which are in the Incomplete Book-Entry Transfer status, and the requests which are in the Incomplete Designation status, prescribed in Item 1:

“Same-Day Book-Entry Transfer Requests,” “Same-Day Balance Adjustment Requests,” “Same-Day General Book-Entry Transfer Requests– Linked” and “Same-Day DVP Book-Entry Transfer Requests” relating to the business day referenced in Item 1.

2. Notwithstanding the provisions of the preceding Paragraph, JASDEC shall process those book-entry transfer requests, which are being treated as Incomplete Book-Entry Transfers due to their Book-Entry Transfer Implementation Conditions not having been satisfied, in the order by which such requests are treated as Incomplete Book-Entry Transfers.

Article 37: Ineligible Foreign Stock Certificates, Etc., Relating to Delivery

The ineligible Foreign Stock Certificates, Etc., prescribed in these Rules, referenced in Article 65 of the Business Regulations, shall mean the Foreign Stock Certificates, Etc., described in the Items under Article 14. In such event, the legal system, etc., of the Country or Area of Residence of the issuer of the Foreign Stock Certificates, Etc., shall be taken into consideration when making determinations concerning the applicable Foreign Stock Certificates, Etc.

**CHAPTER V: PROCESSING OF RIGHTS, ETC., RELATING TO DEPOSITED
FOREIGN STOCK CERTIFICATES, ETC.**

SECTION 1: PROCESSING OF RIGHTS, ETC., THROUGH JASDEC

Article 38: Delegation of Tax Withholding Work to Dividend-Paying Banks, Etc.

1. The administrative work related to the Tax Withholding Work that JASDEC performs and to be delegated by JASDEC to dividend-paying banks and Share-Handling Service Agents pursuant to the provisions of Article 70, Paragraph 2 of the Business Regulations shall be the work described in the Items below and shall be performed in accordance with the provisions of such Items:

- (1) Administrative work to be delegated to dividend-paying banks:
 - (a) The work of withholding the income tax prescribed in Article 8-3, Paragraph 3 and Article 9-2, Paragraph 2 of the Tax Special

Measures Law (Law No. 26 of 1957) and the allocated percentage relating to the Prefectural Inhabitants Tax prescribed in Article 71-31 of the Local Tax Law (Law No. 226 of 1950) (hereinafter collectively referred to as the “Income Tax, Etc.”) when distributing dividends, etc., relating to Foreign Stock Certificates, Etc., to the Beneficial Stockholders of Foreign Stock Certificates, Etc. (hereinafter referred to as the “Withholding Work”):

The Withholding Work shall be performed in accordance with the detailed statements concerning withholding taxes (hereinafter referred to as the “Detailed Statements Concerning Withholding Taxes”) prepared by the Share-Handling Service Agents; on the Income Tax Collection Amount Calculation Statement, JASDEC’s name and address shall be noted in the space for the “Person Obligated to Pay Taxes,” and the name and address of the dividend-paying bank shall be noted in the space for “Remarks.”

- (b) The work of paying the Income Tax, Etc., in one lump sum to the tax bureau, etc., having jurisdiction over JASDEC:

The Income Tax, Etc., shall be paid on or before the tenth (10th) day of the month immediately following the month during which it is collected.

- (2) Administrative work to be delegated to Share-Handling Service Agents:

- (a) Work relating to the Detailed Statements Concerning Withholding Taxes:

Work of preparing the Detailed Statements Concerning Withholding Taxes based on the Information, Etc., Concerning Beneficial Stockholders of Foreign Stock Certificates, Etc., provided by the JASDEC Participants for Foreign Stock

Certificates, Etc., and submitting them to the dividend-paying banks.

- (b) Work of withholding the Income Tax, Etc., when distributing, etc., stock dividends, etc., relating to the Foreign Stock Certificates, Etc., to the Beneficial Stockholders of Foreign Stock Certificates, Etc., based on the Information, Etc., Concerning Beneficial Stockholders of Foreign Stock Certificates, Etc., provided by the JASDEC Participants for Foreign Stock Certificates, Etc. (hereinafter referred to as the “Stock Dividends Withholding Work”):

The Stock Dividends Withholding Work shall be performed by applying the money received by JASDEC from the sale of the Foreign Stock Certificates, Etc., newly received through the stock dividend relating to the applicable Foreign Stock Certificates, Etc., or money paid by the Beneficial Stockholder of Foreign Stock Certificates, Etc., in an amount equal to the applicable Income Tax, Etc., to JASDEC through the JASDEC Participants for Foreign Stock Certificates, Etc., and on the Income Tax Collection Amount Calculation Statement, JASDEC’s name and address shall be noted in the space for the “Person Obligated to Withhold Taxes,” and the name and address of the Share-Handling Service Agents shall be noted in the space for “Remarks.”

- (c) Work to make payments of Income Tax, Etc., to the tax bureau having jurisdiction over JASDEC relating to the Stock Dividends Withholding Work referenced in (b) above:

The Income Tax, Etc., shall be paid on or before the tenth (10th) day of the month immediately following the month during which it is collected.

- (d) Work relating to preparation, submission, etc., of payment reports:

The work of preparing, and sending to JASDEC, payment reports prescribed in Article 225, Paragraph 1 of Income Tax Law (Law No.33 of 1965) relating to dividends, etc., of the Foreign Stock Certificates, Etc.; provided, however, that, if there is no notation that the identity of the applicable person has been confirmed on the Information, Etc., Concerning Beneficial Stockholders of Foreign Stock Certificates, Etc., submitted by the JASDEC Participants for Foreign Stock Certificates, Etc., the payment report relating to the Foreign Stock Certificates, Etc., shall note that the identity of the applicable person has not been confirmed, and the payment reports relating to dividends, etc., of the Foreign Stock Certificates, Etc., in connection with which the identity of the applicable persons has been confirmed shall be separated from the payment reports which note that the identity of the applicable persons has not been confirmed.

(e) Work relating to preparation, sending, etc of Note of Payment

The work relating to the preparation of the Note of Payment prescribed in Article 8-4 Paragraph 4 of the Tax Special Measures Law relating to dividends, etc., of the Foreign Stock Certificates, Etc., and the sending, etc. of such Note of Payment to the Beneficial Stockholders of Foreign Stock Certificates, Etc.

2. JASDEC shall separate those payment reports in connection with which the identity of the applicable persons has been confirmed from those in connection with which the identity of the applicable persons has not been confirmed, received pursuant to the provisions of Item 2(d) of the preceding Paragraph, and submit them to the tax bureau having jurisdiction over JASDEC.

Article 39: JASDEC Participants for Foreign Stock Certificates, Etc., Obligations Relating to Stock-Related Work, Etc.

1. A JASDEC Participant for Foreign Stock Certificates, Etc., shall, if it is notified of the recipient, etc., or receives the written notice, or is presented the written confirmation of identity, pursuant to the provisions of Article 224 or Article 224-3 of the Income Tax Law or Article 25-10-3 of the Tax Special Measures Law Enforcement Order, verify the name/trade name and address set forth in the announcement, written notice or written confirmation of identity, and retain the written notice or written confirmation of identity, as applicable.
2. A JASDEC Participant for Foreign Stock Certificates, Etc., shall note on the Information, Etc., Concerning the Beneficial Stockholder of Foreign Stock Certificates, Etc., that the identity of the applicable person has been confirmed if it has been confirmed in accordance with the provisions of the preceding Paragraph, and so advise the Share-Handling Service Agent through JASDEC.
3. A JASDEC Participant for Foreign Stock Certificates, Etc., shall prepare and retain the books and records, etc., prescribed in Paragraph 3 of Article 337, Paragraphs 4 and 5 of Article 338 and Paragraphs 6 and 9 of Article 339 of the Income Tax Law Enforcement Order, and Paragraph 4 of Article 25-10-3 of the Tax Special Measures Law Enforcement Order.

Article 40: Responsibilities, Etc., Concerning the Work Relating to Distributions, Etc., of Foreign Stock Certificates, Etc.

1. In the event of any error arising from the administrative work of any JASDEC Participant for Foreign Stock Certificates, Etc., relating to the Tax Withholding Work performed by JASDEC (including the case that such error is caused by inaccurate descriptions or lack of descriptions in the Information, Etc., concerning Beneficial Stockholders of Foreign Stock Certificates, Etc., submitted by JASDEC Participants for Foreign Stock Certificates, Etc.) such JASDEC Participant for Foreign Stock Certificates, Etc., shall pay the Income Tax, Etc. (including the additional tax for failure to pay in full on time and the delinquency tax), relating to such error to the tax bureau, etc., having jurisdiction over the dividend-paying bank; provided, however,

that, if such error is caused by the dividend-paying bank, such dividend-paying bank shall make the payment of Income Tax, Etc. relating to such error (including the additional tax for failure to pay in full on time and the delinquency tax) to the tax bureau, etc., having jurisdiction.

2. The method of completing the Income Tax Collection Amount Calculation Statement prescribed in Article 38, Paragraph 1, Item 1(a) shall be applied *mutatis mutandis* to the payment by the dividend-paying bank prescribed in the proviso to the preceding Paragraph, and the method of completing the Income Tax Collection Amount Calculation Statement prescribed in Article 38, Paragraph 1, Item 2(b) shall be applied *mutatis mutandis* to the payment by the JASDEC Participant for Foreign Stock Certificates, Etc., prescribed in the main text of the preceding Paragraph.
3. An Account Management Institution for Foreign Stock Certificates, Etc., shall, if any dispute with any of its Participant for Foreign Stock Certificates, Etc., arises from an error related to Tax Withholding Work performed by JASDEC prescribed in the Paragraph 1, resolve such dispute as the responsibility of such Account Management Institution for Foreign Stock Certificates, Etc.

Article 41: Processing of Other Administrative Work

1. The provisions of Article 38, Paragraph 1, Item 2 shall be applied *mutatis mutandis* when the rights other than the cash and stock dividends distributed to the Beneficial Stockholders of Foreign Stock Certificates, Etc. (limited to those rights which are to be processed by the Share-Handling Service Agents; hereinafter referred to as the “Other Rights”), are granted; provided, however, that they shall be applied *mutatis mutandis* when such rights are subject to withholding in Japan.
2. If it is deemed inappropriate to apply the provisions concerning the administrative work relating to stock dividends *mutatis mutandis* to the Other Rights, decisions shall be made upon consultation by and among the dividend-paying banks, Share-Handling Service Agents, JASDEC and other related parties.

SECTION 2: PROCESSING OF DIVIDENDS, ETC.

Article 42: Method of Calculating Fractional (Less than one (1) Share)

The calculation of fractional (less than one (1) share) prescribed in Item 2 of Paragraph 1 of Article 72, and Items 2 and 3 of Paragraph 1 of Article 73 of the Business Regulations shall be made with respect to each Beneficial Stockholder of Foreign Stock Certificates, Etc.

SECTION 3: PROVISION OF INFORMATION, ETC., CONCERNING BENEFICIAL STOCKHOLDERS OF FOREIGN STOCK CERTIFICATES, ETC.

Article 43: Delegation of Work Relating to Reports of Beneficial Stockholders of Foreign Stock Certificates, Etc.

1. When a Participant for Foreign Stock Certificates, Etc., or JASDEC Participant for Foreign Stock Certificates, Etc., has pledged the Foreign Stock Certificates, Etc., by way of a book-entry transfer to another JASDEC Participant for Foreign Stock Certificates, Etc., Proprietary Account or Customer Account, in principle, the JASDEC Participant for Foreign Stock Certificates, Etc., which has received the pledge through the book-entry transfer (hereinafter in this Article referred to as the “Pledgee JASDEC Participant for Foreign Stock Certificates, Etc.”) shall delegate to the JASDEC Participant for Foreign Stock Certificates, Etc., which has made the book-entry transfer request in order to provide the pledge (hereinafter in this Article referred to as the “Pledgor JASDEC Participant for Foreign Stock Certificates, Etc.”) the administrative work relating to the report concerning the Beneficial Stockholder of Foreign Stock Certificates, Etc., relating to the applicable Foreign Stock Certificates, Etc.
2. On the business day immediately following the respective Record Date, Etc., the Pledgee JASDEC Participant for Foreign Stock Certificates, Etc., which has delegated to the Pledgor JASDEC Participant for Foreign Stock Certificates, Etc., the administrative work relating to the report concerning the Beneficial Stockholder of Foreign Stock Certificates, Etc., pursuant to the provisions of the preceding

Paragraph shall report to JASDEC the issue, number, etc., of the Foreign Stock Certificates, Etc., which were pledged to it by way of a book-entry transfer, and the Pledgor JASDEC Participant for Foreign Stock Certificates, Etc., shall report to JASDEC the issue, number of securities, etc., of the Foreign Stock Certificates, Etc., which it pledged by way of a book-entry transfer.

3. JASDEC shall reconcile the issue, number of securities, etc., of the Foreign Stock Certificates, Etc., reported by each of the Pledgee JASDEC Participant for Foreign Stock Certificates, Etc., and the Pledgor JASDEC Participant for Foreign Stock Certificates, Etc., pursuant to the provisions of the preceding Paragraph, and, after confirming that the same information was submitted by each of them, shall deduct the applicable number of shares from the account balance of the Account of JASDEC Participant for Foreign Stock Certificate, Etc., of the Pledgee JASDEC Participant for Foreign Stock Certificates, Etc., add the applicable number of shares to the account balance of the Account of JASDEC Participant for Foreign Stock Certificate, Etc., of the Pledgor JASDEC Participant for Foreign Stock Certificates, Etc., and thus calculate the (total) reportable number of shares of the Beneficial Stockholder of Foreign Stock Certificates, Etc., to be reported to JASDEC.

SUPPLEMENTARY RULE

These revised Rules shall become effective on the effective date (January 5, 2009) of the Law for Partial Amendments of the Law Concerning Book-Entry Transfer of Corporate Bonds and Other Securities for the Purpose of Streamlining the Settlement of Trades of Stocks and Other Securities, Etc. (Law No. 88 of 2004), prescribed in the main text of Article 1 of the Supplementary Provisions of such Law.

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APPENDIX 1

1. INTEGRATED WEB TERMINALS

(1) INPUT

* INPUT BY PARTICIPANTS OF JASDEC FOREIGN STOCK CERTIFICATES, ETC.

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Prior-Day Trust Asset Indication Request / Prior-Day Trust Asset Indication Deletion Request	From 9 a.m. until 4 p.m.	Input is made on the business day immediately preceding the day on which the trust information is recorded or deleted, as applicable.
Same-Day Trust Asset Indication Request / Same-Day Trust Asset Indication Deletion Request	From 9 a.m. until 3:30 p.m.	Input is made on the day on which the trust information is recorded or deleted, as applicable.
Prior-Day Book-Entry Transfer Request	From 9 a.m. until 4 p.m.	Input is made on the business day immediately preceding the book-entry transfer date. (Input concerning Correcting/Canceling on the book-entry transfer date shall be made from 7 a.m. until 3:30 p.m. (such input can be made only for the request that is classified as an Incomplete Book-Entry Transfer))

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Same-Day Book-Entry Transfer Request	From 9 a.m. until 3:30 p.m.	Input is made on the book-entry transfer date.
Prior-Day Balance Adjustment Request	From 9 a.m. until 4 p.m.	Input is made on the business day immediately preceding the book-entry transfer date.
Same-Day Balance Adjustment Request	From 9 a.m. until 3:30 p.m.	Input is made on the book-entry transfer date.
Postdated General Book-Entry Transfer Request – Linked <Linked to the Pre-Settlement Matching System >	From 7 a.m. until 10 p.m. on or before the business day which is two (2) business days before the applicable day, and from 7 a.m. until 8 p.m. on the business day immediately preceding the applicable day	The necessary matters can be inputted in the Pre-Settlement Matching System (screen/files) until 8 p.m. on the business day immediately preceding the book-entry transfer date.
Same-Day General Book-Entry Transfer Request – Linked <Linked to the Pre-Settlement Matching System >	From 8 p.m. until 10 p.m. on the business day immediately preceding the applicable day, and from 7 a.m. until 3:20 p.m. on the applicable day	The necessary matters can be inputted in the Pre-Settlement Matching System (screen/files) from 8 p.m. on the business day immediately preceding the book-entry transfer date until 3 p.m. on the book-entry transfer date.
Notice of Temporary Suspension of Book-Entry Transfers (Market Trading)	From 9 a.m. until 4 p.m.	Input is made on the business day immediately preceding the book-entry transfer date.
Notice of Temporary Suspension/Notice of Cancellation of Temporary Suspension	From 7 a.m. until 3:30 p.m.	Input is made on the book-entry transfer date.

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Same-Day DVP Book-Entry Transfer Request (Market Trading)	From 9 a.m. until 3:30 p.m.	Input is made on the book-entry transfer date.
Postdated DVP Book-Entry Transfer Request <Linked to the Pre-Settlement Matching System >	From 7 a.m. until 10 p.m. on or before the business day which is two (2) business days before the applicable day, and from 7 a.m. until 8 p.m. on the business day immediately preceding the applicable day	The necessary matters can be inputted in the Pre-Settlement Matching System (screen/files) until 8 p.m. on the business day immediately preceding the book-entry transfer date (linked to the Postdated DVP Book-Entry Transfer Request by Hofuri Clearing).
Same-Day DVP Book-Entry Transfer Request <Linked to the Pre-Settlement Matching System >	From 8 p.m. until 10 p.m. on the business day immediately preceding the applicable day, and from 7 a.m. until 1:50 p.m. on the applicable day	The necessary matters can be inputted in the Pre-Settlement Matching System (screen/files) from 8 p.m. on the business day immediately preceding the book-entry transfer date until 1:50 p.m. on the book-entry transfer date (linked to the Same-Day DVP Book-Entry Transfer Request by Hofuri Clearing).
Prior-Day Securities Collateral Designation Request / Prior-Day Securities Collateral Designation Cancellation Request	From 9 a.m. until 4 p.m.	Input is made on the business day immediately preceding the Designation Date or the Designation Cancellation Date, as applicable.
Same-Day Securities Collateral Designation Request / Same-Day Securities Collateral Designation	From 9 a.m. until 3:30 p.m.	Input is made on the Designation Date or the Designation Cancellation Date, as applicable.

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Cancellation Request		
Prior-Day Division Management Securities Designation Request / Prior-Day Division Management Securities Designation Cancellation Request	From 9 a.m. until 4 p.m.	Input is made on the business day immediately preceding the Designation Date.
Same-Day Division Management Securities Designation Request / Same-Day Division Management Securities Designation Cancellation Request	From 9 a.m. until 3:30 p.m.	Input is made on the Designation Date.
Prior-Day Balance Reserve Designation Request / Prior-Day Balance Reserve Designation Cancellation Request	From 9 a.m. until 4 p.m.	Input is made on the business day immediately preceding the Reserve Establishment Date.
Same-Day Balance Reserve Designation Request / Same-Day Balance Reserve Designation Cancellation Request	From 9 a.m. until 3:30 p.m.	Input is made on the Reserve Establishment Date.
Request for Completion of Delivery of Securities Scheduled to Be Received	From 9 a.m. until 3:30 p.m.	Input is made on the date on which the request for the delivery of the Securities Scheduled to Be Received is submitted.
Pool Balance Release Request	From 9 a.m. until 3:30 p.m.	Input is made on the date on which the request is submitted.
Foreign Deposit Request	From 9 a.m. until 3:30 p.m.	The request can be cancelled at any time until the time of the first Regular Batch Processing that occurs after the request is made.

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Foreign Delivery Request	From 9 a.m. until 3:30 p.m.	The request can be cancelled at any time until the time of the first Regular Batch Processing that occurs after the request is made.

(2) OUTPUT

* OUTPUT TO JASDEC PARTICIPANTS FOR FOREIGN STOCK CERTIFICATES, ETC.

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Securities Account Processing Statement	From 7 a.m. until 8 p.m.	—
Detailed Processing Statement	From 7 a.m. until 8 p.m.	—
List of Accumulated Messages	From 7 a.m. until 8 p.m.	New Records Completion Notice, Book-Entry Transfer Completion Notice, Deletion Completion Notice, etc.
Status List of Requests for Foreign Deposits / Deliveries	From 7 a.m. until 8 p.m.	—

2. FS FILE TRANSMISSION

(1) INPUT

* INPUT BY JASDEC PARTICIPANT FOR FOREIGN STOCK CERTIFICATES, ETC.

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Prior-Day Book-Entry Transfer Request	From 3 a.m. until 8 p.m.	Input is made on the business day immediately preceding the book-entry transfer date.
Prior-Day Balance Adjustment Request	From 3 a.m. until 8 p.m.	Input is made on the business day immediately preceding the book-entry transfer date.
Prior-Day Securities Collateral Designation Request / Prior-Day Securities Collateral Designation Cancellation Request	From 3 a.m. until 8 p.m.	Input is made on the business day immediately preceding the Designation Date or Designation Cancellation Date, as applicable.
Prior-Day Trust Asset Indication Request / Prior-Day Trust Asset Indication Deletion Request	From 3 a.m. until 8 p.m.	Input is made on the business day immediately preceding the day on which the trust information is recorded or deleted, as applicable.
Prior-Day Division Management Securities Designation Request / Prior-Day Division Management Securities Designation Cancellation Request	From 3 a.m. until 8 p.m.	Input is made on the business day immediately preceding the Designation Date.

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Prior-Day Balance Reserve Designation Request	From 3 a.m. until 8 p.m.	Input is made on the business day immediately preceding the Reserve Establishment Date.
Prior-Day DVP Book-Entry Transfer Request (Market Trading)	From 3 a.m. until 8 p.m.	Input is made on the business day immediately preceding the book-entry transfer date.
Notice of Temporary Suspension of Book-Entry Transfers (Market Trading)	From 3 a.m. until 8 p.m.	Input is made on the business day immediately preceding the book-entry transfer date.
Data Concerning Acceptance of Pledged FS	From 3 a.m. until 9 a.m.	Input is made on the business day immediately following the Record Date.
Data Concerning Provisions of Pledged FS	From 3 a.m. until 9 a.m.	Input is made on the business day immediately following the Record Date.
Data Concerning Reports on Beneficial Stockholders of FS	From 3 p.m. until 8 p.m. on the business day immediately following the Record Date; from 3 a.m. until 8 p.m. on the business day that is two (2), three (3) or four (4) business days after the Record Date.	Input is made on or before the day which is four (4) business days after the Record Date.

* INPUT BY SHARE-HANDLING SERVICE AGENTS

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Data Concerning Statements on Cash Dividends Relating to FS	From 3 a.m. until 8 p.m.	Until the business day which is four (4) business days before the cash dividend payment commencement date.

(2) OUTPUT

*1 OUTPUT TO JASDEC PARTICIPANTS FOR FOREIGN STOCK CERTIFICATES, ETC.

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Ledger Report File	From 3 a.m. until 8 p.m.	Account processing results are outputted.
Balance Confirmation Data	From 4:30 p.m. until 8 p.m.	Output is made on each business day.
Notice Concerning Input Processing of Data Concerning Pledged FS	From 3 a.m. until 8 p.m.	The transmission date of the Data Concerning Acceptance of Pledged FS and the Data Concerning Provision of Pledged FS.
Data Concerning Mismatches Detected Through Matching of Pledged FS	From 3 p.m. until 8 p.m.	The transmission date of the Data Concerning Acceptance of Pledged FS and the Data Concerning Provision of Pledged FS.
Notice Concerning Input Processing of Data Concerning Reports on the Beneficial Stockholders of FS	From 3 a.m. until 8 p.m.	The transmission date of the Data Concerning Reports on the Beneficial Stockholders of FS.

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Data Concerning Notice of Statements on Cash Dividends Relating to FS	From 3 a.m. until 8 p.m.	Three (3) business days before the cash dividend payment commencement date.

*2 OUTPUT TO SHARE-HANDLING SERVICE AGENTS

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Data Concerning Notice to Beneficial Stockholders of FS	From 3 a.m. until 8 p.m.	Six (6) business days after the Record Date relating to the rights.
Notice Concerning Input Processing of the Data Concerning Statements on Cash Dividends Relating to FS	From 3 a.m. until 8 p.m.	Transmission date of the Data Concerning Statements on Cash Dividends Relating to FS.

3. ONLINE REAL-TIME CONNECTION

(1) INPUT

* INPUT BY JASDEC PARTICIPANT FOR FOREIGN STOCK CERTIFICATES, ETC.

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Same-Day Trust Asset Indication Request / Same-Day Trust Asset Indication Deletion Request	From 9 a.m. until 3:30 p.m.	Input is made on the date on which the trust information is recorded or deleted.
Same-Day Book-Entry Transfer Request	From 9 a.m. until 3:30 p.m.	Input is made on the book-entry transfer date.
Same-Day Balance Adjustment Request	From 9 a.m. until 3:30 p.m.	Input is made on the book-entry transfer date.
Same-Day Securities Collateral Designation Request / Same-Day Securities Collateral Designation Cancellation Request	From 9 a.m. until 3:30 p.m.	Input is made on the Designation Date or Designation Cancellation Date.
Notice of Temporary Suspension / Notice of Cancellation of Temporary Suspension	From 7 a.m. until 3:30 p.m.	—
Same-Day Division Management Securities Designation Request / Same-Day Division Management Securities	From 9 a.m. until 3:30 p.m.	Input is made on the Designation Date.

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Designation Cancellation Request		
Same-Day Balance Reserve Designation Request / Same-Day Balance Reserve Designation Cancellation Request	From 9 a.m. until 3:30 p.m.	Input is made on the Reserve Establishment Date.
Request for Completion of Delivery of Securities Scheduled to Be Received	From 9 a.m. until 3:30 p.m.	Input is made on the date on which the request for the delivery of the Securities Scheduled to Be Received is submitted.
Pool Balance Release Request	From 9 a.m. until 3:30 p.m.	Input is made on the date on which the request is submitted.

(2) OUTPUT

* OUTPUT TO JASDEC PARTICIPANTS FOR FOREIGN STOCK CERTIFICATES, ETC.

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Receipt Notice; Error Notice; Correction Completion Notice; Book-Entry Transfer Completion Notice; Implementation of Book-Entry Transfer Completion Notice; Incomplete Book-Entry Transfer Notice; Notice of Completion of Book-Entry Transfers;	From 9 a.m. until 3:30 p.m.	Notification of Book-Entry Transfer Completion Notice, Etc.

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Notice Concerning Amended Reason for Incomplete Book-Entry Transfers; Processing Completion Notice; Processing Completion Notice (with updated information); Notice of Impossibility		
New Records Completion Notice	From 9 a.m. until 3:30 p.m.	—
Deletion Completion Notice	From 9 a.m. until 3:30 p.m.	—

4. SWIFT NETWORK

(1) INPUT

* INPUT BY FOREIGN DEPOSITORIES

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Foreign Deposit Request Completion Notice Data	Hours not designated	—
Foreign Delivery Request Completion	Hours not designated	—

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Notice Data		
Foreign Deposit Incomplete Request Status Notice	Hours not designated	—
Foreign Delivery Incomplete Request Status Notice	Hours not designated	—
Account Balance Notice	Hours not designated	—
Advance Notice Concerning Processing of Rights	Hours not designated	—
Notice of Completion Relating to Processing of Rights	Hours not designated	—

(2) OUTPUT

* OUTPUT TO FOREIGN DEPOSITORIES

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Foreign Deposit Request Instruction Data (including cancellations)	Hours not designated	—

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Foreign Delivery Request Instruction Data (including cancellations)	Hours not designated	—
Instructions/Communications Concerning Processing of Rights	Hours not designated	—

5. TARGET HOFURI WEBSITE CONNECTION

(1) INPUT

* INPUT BY JASDEC PARTICIPANT FOR FOREIGN STOCK CERTIFICATES, ETC.

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Request for Delivery of Certificate of Matters Recorded in Transfer Account Book for Foreign Stock Certificates, Etc.	Hours not designated	—

(2) OUTPUT

* OUTPUT TO JASDEC PARTICIPANTS FOR FOREIGN STOCK CERTIFICATES, ETC.

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Delivery of Certificate of Matters Recorded in Transfer Account Book for Foreign Stock Certificates, Etc.	Hours not designated	—

6. TARGET HOFURI FOREIGN STOCK WEBSITE CONNECTION

(1) INPUT

None

(2) OUTPUT

* OUTPUT TO JASDEC PARTICIPANTS FOR FOREIGN STOCK CERTIFICATES, ETC.

DATA TYPE	HOURS DURING WHICH DATA IS SENT/RECEIVED	REMARKS
Notices, etc., to JASDEC Participants	Hours not designated	—

for Foreign Stock Certificates, Etc.		
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APPENDIX 2

CLASSIFIED ACCOUNT CODE	ACCOUNT TYPE	DIVISION OF ATTRIBUTE
00	Proprietary Account	Holding Account
01~19		Holding Account
20~39		Trust Account
40~49		Holding Account or Trust Account
50~59	Reserved (Not Designated)	
60~69	Customer Account	Customer Account
70~79		Customer Account
80~89		Customer Account
90~97	Proprietary Account or Customer Account	Holding Account or Customer Account

(NOTES)

1. As used in this Appendix, “Classified Account Codes” shall mean the codes which purport to specifically identify the Classified Accounts of the Accounts of JASDEC Participants for Foreign Stock Certificates, Etc., under the Depository and Book-Entry Transfer System for Foreign Stock Certificates, Etc.
2. The codes assigned to the Classified Accounts in this Appendix generally correspond to the Account Types and Divisions of Attribute.

3. The Accounts of JASDEC Participants for Foreign Stock Certificates, Etc., that can be opened by a JASDEC Participant for Foreign Stock Certificates, Etc., pursuant to this Appendix shall be limited to those whose Classified Account Codes, Account Types and Divisions of Attribute are the same as those of the JASDEC Participant's Accounts of such JASDEC Participant for Foreign Stock Certificates, Etc., under the Book-Entry Transfer System for Stocks, Etc.

APPENDIX 3

TYPE OF BOOK-ENTRY TRANSFER REQUEST	TIMEFRAME FOR PROCESSING	“INCOMPLETE BOOK-ENTRY TRANSFER” OR “IMPOSSIBLE BOOK-ENTRY TRANSFER”	WHETHER OR NOT A TEMPORARY SUSPENSION NOTICE MAY BE SUBMITTED	REMARKS
Prior-Day Book-Entry Transfer Request	Upon the commencement of business on the book-entry transfer date	Incomplete Book-Entry Transfer	Yes	—
Same-Day Book-Entry Transfer Request	Immediately after the Book-Entry Transfer Request is received	Incomplete Book-Entry Transfer	Yes	—
Prior-Day Balance Adjustment Request	Upon the commencement of business on the book-entry transfer date	Impossible Book-Entry Transfer	No	Can be used only for book-entry transfers between the Classified Accounts of the same JASDEC Foreign Stock Certificates, Etc., Participant
Same-Day Balance Adjustment Request	Immediately after the Book-Entry Transfer Request is received	Incomplete Book-Entry Transfer	No	Can be used only for book-entry transfers between the Classified Accounts of the same JASDEC Foreign Stock Certificates, Etc., Participant

TYPE OF BOOK-ENTRY TRANSFER REQUEST	TIMEFRAME FOR PROCESSING	“INCOMPLETE BOOK-ENTRY TRANSFER” OR “IMPOSSIBLE BOOK-ENTRY TRANSFER”	WHETHER OR NOT A TEMPORARY SUSPENSION NOTICE MAY BE SUBMITTED	REMARKS
Postdated General Book-Entry Transfer Request – Linked <Linked to the Pre-Settlement Matching System >	Upon the commencement of business on the book-entry transfer date	Incomplete Book-Entry Transfer	Yes	—
Same-Day General Book-Entry Transfer Request – Linked <Linked to the Pre-Settlement Matching System >	Immediately after the Book-Entry Transfer Request is received	Incomplete Book-Entry Transfer	Yes	—
Postdated DVP Book-Entry Transfer Request <Linked to the Pre-Settlement Matching System >	Upon the commencement of business on the book-entry transfer date	Prescribed in Article 26 etc.	Yes	Request can be made by Hofuri Clearing only (input in the Pre-Settlement Matching System is made by the DVP Participant).
Same-Day DVP Book-Entry Transfer Request	Immediately after the Book-Entry Transfer	Prescribed in Article 26 etc.	Yes	Request can be made by Hofuri Clearing only (input in the Pre-Settlement Matching System is

TYPE OF BOOK-ENTRY TRANSFER REQUEST	TIMEFRAME FOR PROCESSING	“INCOMPLETE BOOK-ENTRY TRANSFER” OR “IMPOSSIBLE BOOK-ENTRY TRANSFER”	WHETHER OR NOT A TEMPORARY SUSPENSION NOTICE MAY BE SUBMITTED	REMARKS
<Linked to the Pre-Settlement Matching System >	Request is received			made by the DVP Participant).
Request for Completion of Delivery of Securities Scheduled to Be Received	Immediately after the Book-Entry Transfer Request is received	Impossible Book-Entry Transfer	No	To be used only for book-entry transfers between the DVP Participants and Hofuri Clearing relating to the Securities Scheduled to Be Received.
Prior-Day DVP Book-Entry Transfer Request (Market Trading)	Upon the commencement of business on the book-entry transfer date	Prescribed in Article 23, etc.	Yes	Request can be made by JSCC only.
Same-Day DVP Book-Entry Transfer Request (Market Trading)	Immediately after the Book-Entry Transfer Request is received	Prescribed in Article 23 etc.	Yes	Request can be made by JSCC only.
Prior-Day Securities Collateral Designation Request / Prior-Day	Upon the commencement of business on the book-	Impossible Book-Entry	No	To be used only for book-entry transfers between the DVP Participants and Hofuri Clearing

TYPE OF BOOK-ENTRY TRANSFER REQUEST	TIMEFRAME FOR PROCESSING	“INCOMPLETE BOOK-ENTRY TRANSFER” OR “IMPOSSIBLE BOOK-ENTRY TRANSFER”	WHETHER OR NOT A TEMPORARY SUSPENSION NOTICE MAY BE SUBMITTED	REMARKS
Securities Collateral Designation Cancellation Request	entry transfer date	Transfer		relating to the Designated Collateral Securities.
Same-Day Securities Collateral Designation Request / Same-Day Securities Collateral Designation Cancellation Request	Immediately after the Book-Entry Transfer Request is received	Impossible Book-Entry Transfer	No	To be used only for book-entry transfers between the DVP Participants and Hofuri Clearing relating to the Designated Collateral Securities.
Foreign Delivery Request	Immediately after the Book-Entry Transfer Request is received	Incomplete Book-Entry Transfer	No	No correction can be made to any Foreign Delivery Request.

(NOTES)

1. As used in this Appendix, “Incomplete Book-Entry Transfer” shall refer to the process of recording the decrease and recording the increase related to the applicable book-entry transfer request in the Accounts of JASDEC Participants for Foreign Stock Certificates, Etc., when the applicable number of securities is recorded, the applicable temporary suspension is cancelled or the applicable Book-Entry Transfer Implementation Conditions are satisfied, in the event that, at the time described in the “Timeframe for Processing” column, the number of

securities recorded in the Account of JASDEC Participant for Foreign Stock Certificates, Etc., is less than the number of securities of decrease which should be recorded pursuant to the applicable book-entry transfer request (excluding the Prior-Day DVP Book-Entry Transfer Request (Market Trading) and the Same-Day DVP Book-Entry Transfer Request (Market Trading)), the applicable book-entry transfer request is temporarily suspended, or the Book-Entry Transfer Implementation Conditions of the applicable book-entry transfer request have not been satisfied. On the book-entry transfer date, corrections to and withdrawal of book-entry transfer requests can be made only with respect to those which are in the “Incomplete Book-Entry Transfer” status.

2. As used in this Appendix, “Impossible Book-Entry Transfer” shall refer to the process of treating the applicable book-entry transfer request as though it had never been made, in the event that, at the time described in the “Timeframe for Processing” column, the number of securities recorded in the Account of the JASDEC Participant for Foreign Stock Certificates, Etc., is less than the number of securities of decrease which should be recorded pursuant to the applicable book-entry transfer request.
3. Those types of book-entry transfer requests (excluding the Prior-Day DVP Book-Entry Transfer Request (Market Trading) and the Same-Day DVP Book-Entry Transfer Request (Market Trading)) which are classified as “Incomplete Book-Entry Transfer” in the column entitled “‘INCOMPLETE BOOK-ENTRY TRANSFER’ OR ‘IMPOSSIBLE BOOK-ENTRY TRANSFER’” shall be treated as “Impossible Book-Entry Transfer,” if the number of securities recorded in the Account of JASDEC Participant for Foreign Stock Certificates, Etc., where the decrease should be recorded does not become equal to or more than the number of the decrease, if the temporary suspension is not cancelled or if the Book-Entry Transfer Implementation Conditions are not satisfied, by 3:30 p.m.
4. Those types of book-entry transfer requests which are classified as “Incomplete Book-Entry Transfers” in the column entitled “‘INCOMPLETE BOOK-ENTRY TRANSFER’ OR ‘IMPOSSIBLE BOOK-ENTRY TRANSFER’” shall be treated as “Impossible Book-Entry Transfers,” in the event that the JASDEC Foreign Stock Certificates, Etc., Participant’s Account where the decrease should be recorded is a Trust Account or in the event that the book-entry transfer request designates a portion that is indicated to be constituting trust assets, and the number of securities

recorded in the Account of the JASDEC Participant for Foreign Stock Certificates, Etc., is less than the number of securities for which the record of decrease should be recorded or the Book-Entry Transfer Implementation Conditions regarding the number of securities of which record of decrease should be recorded has not been satisfied.

APPENDIX 4

PROCESSING ORDER	PROCESSING TYPE
1	Processing to complete the foreign deposit
2	Processing to establish or cancel the Reserve Balance
3	Processing of book-entry transfers relating to the Prior-Day Balance Adjustment Request
4	Processing to complete the foreign delivery
5	Processing to designate, or to cancel the designation of, the Division Management Designated Securities
6	Processing of the Prior-Day DVP Book-Entry Transfer Request (Market Trading)
7	Processing of the Trust Asset Indication Request or the Trust Asset Indication Deletion Request
8	Processing of book-entry transfers relating to the Postdated General Book-Entry Transfer Request – Linked
9	Processing of book-entry transfers relating to the Prior-Day Book-Entry Transfer Request
10	Processing of book-entry transfers relating to the Designated Collateral Securities
11	Processing of the Postdated DVP Book-Entry Transfer Request

(NOTES)

1. When there are multiple competing requests of the same processing type, they will in principle be processed in the order of receipt.
2. When the order of receipt is unclear due to the use of different input methods, etc., the order shall be as set forth below:
 - 1 Data received through File Transmission

2 Data received through the Integrated Web Terminal

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