

DVP settlement services(1)

■ DVP settlement services for NETDs

	Transfers of NETDs			Transfer volume of NETDs(Stocks)		
		DVP	Non-DVP		DVP	Non-DVP
Fiscal year	(Transfers)	(Transfers)	(Transfers)	(Millions shares)	(Millions shares)	(Millions shares)
2004	25,390,833	12,982,074	12,408,759	514,083	162,662	351,421
2005	34,289,720	19,755,786	14,533,934	632,401	238,777	393,623
2006	34,518,931	19,842,555	14,676,376	638,080	235,447	402,633
2007	37,837,737	21,360,302	16,477,435	727,610	262,653	464,957
2008	48,230,235	23,593,739	24,636,496	1,099,878	276,361	823,517
2009	61,911,082	20,711,391	41,199,691	1,388,245	279,981	1,108,263
2008 Aug	3,247,638	1,831,570	1,416,068	64,627	21,289	43,338
Sep	3,352,291	1,811,070	1,541,221	80,230	23,735	56,494
Oct	4,733,611	2,694,874	2,038,737	90,325	29,021	61,304
Nov	3,615,908	2,141,047	1,474,861	64,764	22,541	42,223
Dec	3,549,560	2,030,148	1,519,412	64,871	22,135	42,735
2009 Jan	5,484,718	1,641,730	3,842,988	231,838	17,138	214,700
Feb	5,125,022	1,949,483	3,175,539	112,525	21,410	91,114
Mar	5,655,782	1,990,377	3,665,405	139,913	24,889	115,024
Apr	5,916,726	2,007,217	3,909,509	126,296	24,211	102,085
May	4,812,991	1,532,844	3,280,147	98,807	19,926	78,881
Jun	6,079,047	1,893,669	4,185,378	132,289	25,138	107,150
Jul	5,550,070	1,809,311	3,740,759	143,515	26,895	116,619
Aug	5,041,356	1,622,604	3,418,752	108,311	21,591	86,720
Sep	4,582,977	1,498,445	3,084,532	104,823	20,401	84,422
Oct	5,432,783	2,009,558	3,423,225	127,765	26,788	100,977
Nov	4,746,272	1,755,490	2,990,782	98,967	22,670	76,296
Dec	5,235,305	1,788,731	3,446,574	131,819	27,900	103,919
2010 Jan	4,591,961	1,531,380	3,060,581	102,716	22,450	80,265
Feb	4,533,557	1,550,809	2,982,748	94,285	20,107	74,178
Mar	5,388,037	1,711,333	3,676,704	118,647	21,900	96,747
Apr	5,601,686	1,810,695	3,790,991	118,012	22,319	95,693
May	5,049,319	1,782,284	3,267,035	106,314	23,860	82,454
Jun	5,088,045	1,696,572	3,391,473	113,332	20,793	92,539
Jul	4,675,737	1,597,841	3,077,896	129,126	28,353	100,773
Aug	4,705,313	1,607,158	3,098,155	100,638	20,915	79,723

■ Fund settlement

Total of Fund Settlement (a)	Net Fund Settlement (b)	(b)/(a)
(Million yen)	(Million yen)	(%)
197,359,893	25,119,186	12.73
352,208,565	42,041,066	11.94
414,475,352	45,442,108	10.96
442,160,658	45,819,438	10.36
317,206,864	40,753,695	12.85
231,443,012	28,471,352	12.30
28,071,198	3,020,279	10.76
29,500,447	3,627,017	12.29
31,776,959	4,048,317	12.74
21,387,203	2,939,238	13.74
20,136,489	3,032,742	15.06
14,759,284	2,414,657	16.36
16,808,872	2,782,076	16.55
18,908,051	3,123,349	16.52
19,558,352	2,173,018	11.11
17,352,888	1,862,771	10.73
22,707,042	2,872,850	12.65
19,918,515	2,265,455	11.37
19,141,936	1,986,884	10.38
16,962,509	2,333,521	13.76
21,539,411	2,673,049	12.41
17,988,152	2,019,382	11.23
20,560,253	3,127,162	15.21
18,670,236	2,751,113	14.74
17,686,147	1,745,716	9.87
19,357,571	2,660,427	13.74
21,780,779	2,728,883	12.53
21,542,335	2,481,458	11.52
19,079,992	2,162,310	11.33
17,260,304	2,442,717	14.15
16,413,088	1,832,935	11.17

- Note: 1. Data under "DVP" is the number of book-entry transfers and shares delivered from DVP participants to JDCC(JASDEC DVP Clearing Corporation).  
2. DVP settlement services for NETDs started on May 17, 2004.  
3. Data under "Transfers of NETDs" is the total of Stocks, CB, Share Option, ETF, etc, Preferred Investment Securities, REIT, Foreign Stocks.  
4. DVP settlement of Share Option and Foreign Stocks started in January 2009.

DVP settlement services(2)

■ Obligations Assumption (Stocks, CB, Share Option, ETF, etc)

	Stocks			CB			Share Option			ETF, etc		
	Trasfers	Volume	Value	Trasfers	Volume	Value	Trasfers	Volume	Value	Trasfers	Volume	Value
Fiscal year	(Transfers)	(Million shares)	(Million yen)	(Transfers)	(Million yen)	(Million yen)	(Transfers)	(shares)	(Million yen)	(Transfers)	(Thou. Units)	(Million yen)
2004	12,894,785	162,662	192,175,614	21,359	2,696,268	2,934,468				17,100	853,358	1,452,811
2005	19,579,668	238,777	344,202,295	25,660	2,589,795	3,068,683				35,166	1,536,052	2,814,641
2006	19,517,115	235,447	403,312,803	18,768	2,184,494	2,652,005				39,987	1,722,718	3,662,153
2007	20,940,371	262,653	429,425,748	12,154	1,986,348	2,332,176				44,475	2,005,444	4,381,111
2008	23,154,298	276,361	310,537,106	8,989	1,280,064	1,294,487	-	-	-	39,345	1,861,395	2,473,355
2009	20,284,817	279,981	225,989,295	10,215	1,320,635	1,347,195	-	-	-	37,266	1,507,206	1,888,578
2008 Aug	1,804,510	21,289	27,732,258	564	85,899	89,602				2,233	68,587	72,279
Sep	1,766,185	23,735	28,890,717	794	157,112	156,120				3,031	101,572	144,378
Oct	2,633,377	29,021	30,943,926	1,320	238,170	223,992				5,174	246,516	261,349
Nov	2,104,898	22,541	20,992,405	724	71,663	67,278				3,698	142,308	155,457
Dec	1,988,717	22,135	19,676,082	634	60,336	57,669				3,215	173,272	198,162
2009 Jan	1,608,800	17,138	14,424,894	468	34,849	32,416	-	-	-	2,861	120,539	153,399
Feb	1,915,470	21,410	16,456,398	443	38,756	34,945	-	-	-	2,832	166,522	172,580
Mar	1,948,015	24,889	18,443,093	738	55,976	49,127	-	-	-	3,394	231,879	247,347
Apr	1,969,806	24,211	19,175,916	506	53,007	49,936	-	-	-	3,035	161,391	167,556
May	1,503,129	19,926	16,960,880	907	157,388	148,049	-	-	-	2,663	76,457	95,373
Jun	1,854,191	25,138	22,221,828	834	91,500	89,925	-	-	-	2,745	156,202	180,697
Jul	1,761,001	26,895	19,415,556	637	106,473	99,947	-	-	-	2,786	123,072	134,216
Aug	1,588,257	21,591	18,683,965	681	101,678	104,089	-	-	-	2,958	140,611	170,017
Sep	1,469,555	20,401	16,534,205	578	74,879	77,412	-	-	-	2,953	112,262	174,734
Oct	1,974,232	26,788	21,073,234	655	77,544	76,229	-	-	-	3,574	150,167	211,607
Nov	1,724,472	22,670	17,601,926	559	78,442	80,060	-	-	-	3,072	79,666	119,840
Dec	1,754,474	27,900	20,121,576	1,159	151,287	155,968	-	-	-	3,316	95,921	110,585
2010 Jan	1,497,176	22,450	18,166,648	1,337	158,355	173,883	-	-	-	3,217	150,435	163,984
Feb	1,516,374	20,107	17,265,008	770	96,842	104,100	-	-	-	3,096	133,482	155,487
Mar	1,672,150	21,900	18,768,547	1,592	173,240	187,591	-	-	-	3,851	127,535	204,476
Apr	1,768,187	22,319	21,210,622	1,329	145,336	163,168	475	1,708,000	183	3,834	142,166	188,930
May	1,741,246	23,860	21,085,201	1,042	131,296	146,996	97	1,305,600	105	3,671	100,423	116,502
Jun	1,659,406	20,793	18,654,720	761	129,708	139,052	-	-	-	3,206	60,569	86,738
Jul	1,555,466	28,353	16,822,291	797	95,868	103,819	-	-	-	3,330	78,534	98,384
Aug	1,575,130	20,915	16,046,190	946	138,259	148,691	-	-	-	3,096	110,929	62,533

Note: 1. Data under "Obligations Assumption" is the number of book-entry transfers, shares, and value delivered from DVP participants to JDCC(JASDEC DVP Clearing Corporation).

2. Obligation assumption of Share Option started in January 2009.

3. ETF, etc includes beneficial rights of trust issuing certificates of beneficial rights, obligation assumption of which started in July 2010.

DVP settlement services(3)

■ Obligations Assumption (Preferred Investment Securities, REIT, Foreign Stocks)

Fiscal year	Preferred Investment Securities			REIT			Foreign Stocks		
	Trasfers	Volume	Value	Trasfers	Volume	Value	Trasfers	Volume	Value
	(Transfers)	(Units)	(Million yen)	(Transfers)	(Units)	(Million yen)	(Transfers)	(shares)	(Million yen)
2004	20	537	269	48,810	1,198,022	796,729			
2005	397	5,595	3,200	114,895	3,086,058	2,119,745			
2006	643	4,616	2,449	266,042	6,166,584	4,845,939			
2007	148	2,346	1,174	363,154	6,979,638	6,020,448			
2008	209	1,635	532	389,650	6,739,056	2,895,138	1,248	37,151,746	6,243
2009	340	5,514	961	373,433	5,663,178	2,167,642	5,320	85,151,509	49,345
2008 Aug	22	156	66	24,241	350,407	176,992			
Sep	18	151	63	41,042	690,391	309,168			
Oct	13	32	11	54,990	1,149,425	347,681			
Nov	7	11	3	31,720	529,646	172,057			
Dec	6	20	6	37,576	587,217	204,567			
2009 Jan	5	26	8	29,306	430,953	147,233	290	3,884,065	1,330
Feb	68	917	232	30,282	445,024	143,433	388	10,440,861	1,281
Mar	10	14	3	37,650	562,821	164,847	570	22,826,820	3,631
Apr	53	680	152	33,240	477,039	161,349	577	11,479,685	3,441
May	15	211	50	25,781	387,232	145,812	349	6,945,798	2,722
Jun	13	277	73	35,514	559,825	211,631	372	8,543,195	2,886
Jul	9	43	11	44,505	616,091	265,689	373	4,824,010	3,093
Aug	15	71	10	30,339	394,025	179,961	354	7,018,745	3,891
Sep	26	1,088	156	24,899	383,296	171,386	434	5,047,608	4,614
Oct	37	357	46	30,597	417,082	173,751	463	9,431,343	4,540
Nov	24	306	41	26,884	463,746	182,262	479	6,565,496	4,020
Dec	37	265	38	29,296	418,308	166,901	449	5,382,413	5,182
2010 Jan	32	492	81	29,238	394,158	161,353	380	4,494,339	4,285
Feb	36	783	130	30,036	499,691	156,312	497	4,638,154	5,108
Mar	43	941	167	33,104	652,685	191,229	593	10,780,723	5,558
Apr	57	754	122	35,993	731,734	211,870	820	9,780,436	5,881
May	42	618	99	35,357	635,614	184,431	829	5,710,732	8,998
Jun	26	162	25	32,390	597,335	192,788	783	2,789,890	6,667
Jul	34	500	79	37,625	996,658	230,926	589	1,623,190	4,803
Aug	41	420	67	27,349	577,024	151,328	596	1,431,256	4,276

Note: 1. Data under "Obligations Assumption" is the number of book-entry transfers, shares, and value delivered from DVP participants to JDCC(JASDEC DVP Clearing Corporation).

2. Obligations assumption of Foreign Stocks started in January 2009.